

ARBITRATION RULES MEDIATION RULES

October 2018 - Safar 1440

With updated APPENDIX I & Fee schedules.

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Saudi Center for Commercial Arbitration

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Table of Contents

Subject	Page
Introduction	9
ARBITRATION	
Arbitration Rules	15
Section I: Introductory Rules	17
Article (1): Definitions	17
Article (2): Scope of Application	17
Article (3): Notice and Calculation of Periods of Time	18
Article (4): Notice of Arbitration	19
Article (5): Response to the Notice of Arbitration	20
Article (6): Emergency, Provisional or Precautionary Mrasures	21
Article (7): Joinder	23
Article (8): Amendments to the Claim or Defense	23
Article (9): Representation and Assistance	24
Article (10): Administrative Conference	24
Section II: Composition of the Tribunal	25
Article (11): Number of Arbitrators	25
Article (12): Appointment of Arbitrators	25
Article (13): Disclosure	27
Article (14): Challenge of Arbitrators	28
Article (15): Replacement of an Arbitrator	28
Article (16): Exclusion of Liability	29
Section III: Arbitral Proceedings	31
Article (17): Place of Arbitration	31
Article (18): Arbitration Language	31
Article (19): Arbitral Jurisdiction	32

Subject Pa	ge
Article (20): Conduct of Proceedings	33
Article (21): Exchange of Information	
Article (22): Privilege	35
Article (23): Interim, Provisional, Precautionary or other Measures	35
Article (24): Hearing	36
Article (25): Expert Appointed by the Tribunal	37
Article (26): Default	38
Article (27): Closure of Hearing	39
Article (28): Waiver of Right to Object	39
Section IV: The Award	41
Article (29): Awards, Orders, Decisions	41
Article (30): Time, Form, and Effect of the Award	41
Article (31): Applicable Law	42
Article (32): Settlement or Other Reasons for Termination	42
Article (33): Interpretation, and Correction of Award	43
Article (34): Costs of Arbitration	44
Article (35): Administrative Fees	45
Article (36): Fees and Expenses of Arbitrators	
Article (37): Deposits	45
Article (38): Confidentiality	46
Article (39): Interpretation of Rules	46
Appendix I: Arbitration Costs and Fees	49
Article (1): Registration Fee	51
Article (2): SCCA Administrative Fees and Expenses	51
Article (3): Arbitral Tribunal Fees and Expenses	52
Article (4): Methods of Calculation	56
Article (5): Deposits	57
Article (6): Methods of Payment	58
Article (7): Award Interpretation and Correction Fee	
Article (8): Tribunal Secretary Fees and Expenses	59
SCCA Fee Schedule for Regular Cases under the SCCA Rules	61
Appendix II: Expedited Procedure Rules	63
Article (1): Scope of Application	64

<u>Subject</u> <u>P</u>	age
Article (2): Notice of Arbitration and Response	65
Article (3): Administrative Meeting	65
Article (4): Objection to Applicability of the Expedited Procedures	. 65
Article (5): Amendment of Claim or Counterclaim	65
Article (6): Composition of the Arbitral Tribunal	66
Article (7): Procedural Conference and Order	. 67
Article (8): Proceedings by Written Submissions	67
Article (9): Proceedings with an Oral Hearing	68
Article (10): The Award	68
Article (11): Costs	. 69
Article (12): General Rules	. 69
SCCA Fee Schedule for Expedited Cases under the SCCA Rules	70
Appendix III: Emergency Arbitrator Procedure	. 71
Article (1): Request for Emergency Measures	. 73
Article (2): Appointment of the Emergency Arbitrator	. 74
Article (3): Challenge and Replacement of the Emergency Arbitrator	75
Article (4): Transmission of the File	. 75
Article (5): Place of Emergency Arbitrator Proceedings	76
Article (6): Proceedings	76
Article (7): Time and Form of Order or Award	. 77
Article (8): Effect of Order or Award	. 79
Article (9): Costs of Emergency Arbitrator Proceedings	80
Article (10): General Rules	. 81
SCCA Online Dispute Resolution Protocol ("ODR Protocol").	. 83
Article (1): Scope of Application	. 85
Article (2): Notice of Arbitration	. 86
Article (3): Response and Counterclaim	. 86
Article (4): Communications, Calculation of Time Limits	. 87
Article (5): Arbitrator Appointment and Challenge	88
Article (6): Deposits	. 88
Article (7): Place of Arbitration	88
Article (8): Language of Arbitration	88
Article (9): Conduct of Proceedings	89
Article (10): Award Based on Communications, Oral Hearing	89

<u>Subject</u> <u>Pa</u>	ge
Article (11): Final Award	90
Article (12): Suitability, Adequacy, Risks, Exclusion of Liability	91
SCCA ODR Fee Schedule	91
Arbitration Clauses	93
Standard SCCA Arbitration Clause	95
Standard SCCA Step Clause	95
Model Arbitration Clauses - Expedited Procedure	95
ODR model Clause	
MEDIATION	
Mediation Rules	101
Article (1): Definitions	101
Article (2): Scope of Application	101
Article (3): Initiation of Mediation	102
Article (4): Representation	102
Article (5): Appointment of the Mediator	103
Article (6): Mediators' Impartiality and Duty to Disclose	104
Article (7): Vacancies	105
Article (8): Duties and Responsibilities of the Mediator	105
Article (9): Responsibilities of the Parties	106
Article (10): Privacy	106
Article (11): Confidentiality	106
Article (12): No Records or Minutes	107
Article (13): Termination of Mediation	108
Article (14): Exclusion of Liability	108
Article (15): Interpretation and Application of Rules	108
Article (16): Deposits	108
Article (17): Costs and Fees	109
Article (18): Language of Mediation	110
Appendix: Mediation Costs and Fees	111
Article (1): Definition of Costs	113
Article (2): Filing Fee	113

Subject	Page
Article (3): Final Fee	113
Article (4): Mediator Fee and Expenses	114
Article (5): Prior and Subsequent SCCA Arbitration	114
Article (6): Methods of Payment	115
Mediation Clauses	117
Pre-dispute Clause	119
Post-dispute Clause	119





Introduction

The Saudi Center for Commercial Arbitration ("SCCA"), located in Riyadh, was established by the Cabinet decree number 257 dated 14/6/1435 A.H. - 15/03/2014 A.D. to administer arbitration procedures in civil and commercial disputes where parties agree to refer their disputes to SCCA arbitration or mediation.

The SCCA is governed by an independent Board of Directors composed of nationally respected leaders with diverse backgrounds and experience in business, law and arbitration. All Board members must come from the private sector, and may not hold a government position.

This book introduces the procedural rules that govern the dispute resolution framework at the SCCA, including Arbitration Rules, Mediation Rules, Costs and Fees Appendices and Model Clauses that parties may incorporate into their contracts and agreements.

Arbitration Rules

The SCCA developed its Arbitration Rules through a comprehensive and inclusive process, including:

- 1. The SCCA Board of Directors decided to adopt the UNCITRAL Arbitration Rules as a basis for the SCCA Arbitration Rules due to the widespread success and acceptance among experts, practitioners and courts of the UNCITRAL Rules;
- 2. A joint drafting team (the "Team") was formed with the SCCA and consultants from AAA-ICDR to draft the SCCA Arbitration Rules;
- 3. The Team studied a number of regional and international arbitration centers' rules and procedures, along with the Saudi

Introduction

Arbitration Law and the local practices. The Team then defined the main points and features of institutional arbitration to be incorporated in the SCCA Arbitration Rules. The first draft of the SCCA Arbitration Rules provided a systematic and clear framework governing the arbitral proceeding from filing until the issuance of an enforceable arbitral award;

- 4. The SCCA organized several workshops to discuss its Arbitration Rules draft with lawyers and practitioners, as well as two workshops with the Board of Directors;
- 5. The Team modified the SCCA Arbitration Rules draft in the light of the discussions that took place during the workshops. The SCCA Board of Directors then approved the Arbitration Rules.

Expedited Procedure Appendix

The Expedited Procedure Appendix, in force as of October 15, 2018 A.D. – Safar 6, 1440 A.H. provides parties with a fast track, simplified and reduced cost procedure. The Expedited Procedure shall apply in any case where the aggregate amount in dispute does not exceed 4,000,000 SAR exclusive of costs of arbitration if the arbitration agreement was concluded after October 15, 2018 A.D. However, and for the sake of the utmost flexibility, parties may agree to opt out the Expedited Procedures or to opt in even with higher value cases.

The Expedited Procedure provides parties with comprehensive filing, expedited appointment process, the option of deciding the case without a hearing based solely on parties' submissions and abbreviated time limits.

Emergency Arbitrator Appendix

The SCCA Rules allow for the party seeking emergency relief that cannot await the constitution of the arbitral tribunal to apply for the appointment of an Emergency Arbitrator to consider his request. The Emergency Arbitrator appendix in force as of October 15, 2018 A.D. – Safar 6, 1440 A.H. comprehensively outlines the Emergency Arbitrator procedure, from case filing through to the award or decision on the emergency measure request with clear nd efficient timelines.

Mediation Rules

The SCCA Mediation Rules were developed as follows:

- The SCCA Board of Directors decided to adopt the AAA-ICDR Mediation Rules as a basis for the SCCA Mediation Rules due to their flexibility, ease of implementation and international success.
- 2. A joint drafting team (the "Team") was formed with the SCCA and consultants from AAA-ICDR to draft the SCCA Mediation Rules;
- 3. The Team prepared the first draft of the SCCA Mediation Rules taking into account some modifications to articles and terminologies to be more suitable for the local and international market. Thus, creating a systematic, flexible and cost-effective framework. Mediation under the SCCA Mediation Rules is a flexible and party-focused process aimed at facilitating and achieving a negotiated settlement through a neutral third party, without the authority to impose a binding settlement;

- 4. The SCCA organized several workshops to discuss its Mediation Rules draft with lawyers as well as two workshops with the Board of Directors;
- 5. The Team modified the SCCA Mediation Rules draft in the light of the discussion and feedback received during the workshops. The SCCA Board of Directors then approved the SCCA Mediation Rules.

Costs and Fees Appendices (ad valorem)

After examining the methods followed by the regional and international arbitration centers, two approaches were generally adopted by the centers with regard to centers' fees and the neutrals' costs. First, defining the costs and fees based on the amount of time worked, whether hourly or daily. Second, defining the costs and fees based on the sum in dispute (ad valorem), the lump sum method. After thorough studies and analyses of the pros and cons of the two methods, the lump sum method was determined to be more suitable and appropriate for SCCA clients. It is also the same method followed by many regional and international arbitration centers such as the ICC.

The SCCA has developed a costs and fees calculator to assist parties to calculate their estimated arbitration or mediation costs and fees. To use the calculator, please visit our website at: www.sadr.org

Model Clauses

This book contains a number of arbitration and mediation clauses to assist parties wishing to benefit from settling their existing or potential disputes by arbitration or mediation at the SCCA. These clauses were drafted in a simple and concise manner. Parties are encouraged to consider specifying a few details, such as determining the number of arbitrators, place of arbitration and language. Parties wishing to add details into their contracts and acquaint themselves with what they might consider when incorporating

Introduction

the SCCA clauses into their contracts are encouraged to review the SCCA Guide to Drafting Dispute Resolution Clauses at our website at: www.sadr.org.

For more information, please contact us at:

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Arbitration Rules Section I Introductory Rules

Article (1): Definitions

The following words shall have the meanings assigned thereto, unless otherwise required by context:

Kingdom: Kingdom of Saudi Arabia.

Tribunal : A panel which includes one or more arbitrators which

decides arbitral disputes.

Administrator: Saudi Center for Commercial Arbitration (the "SCCA").

Rules : SCCA Arbitration Rules.

Claim(s) : Any relief or remedy sought by any party.

Award : Includes, inter alia, an interim, partial or final award.

Day(s) : Means calendar day (s).

Article (2): Scope of Application

1. Where parties have agreed that disputes between them in respect of a defined legal relationship, whether contractual or not, shall be referred to arbitration under these Rules, or have provided for arbitration of a dispute by the SCCA without designating particular rules, then such disputes shall be settled in accordance with these Rules subject to such modification as the parties may agree in writing. They thereby authorize the SCCA to administer the arbitration.

- 2. These Rules specify the duties and responsibilities of the SCCA, as the Administrator. The Administrator may provide services through any of the SCCA's case management offices or through the facilities of the SCCA or arbitral institutions with which the SCCA has agreements of cooperation. Arbitrations administered under these Rules shall be administered only by the SCCA or by an individual or organization authorized by the SCCA to do so.
- 3. These Rules shall govern the arbitration except that where any of these Rules is in conflict with a provision of the applicable law to the arbitration from which the parties cannot derogate, that provision shall prevail.

Article (3): Notice and Calculation of Periods of Time

- 1. A notice, including a notification, communication, proposal or request may be transmitted by any means of communication that provides or allows for a record of its transmission.
- 2. If an address has been designated by a party specifically for this purpose or authorized by the Tribunal, any notice shall be delivered to that party or its representative(s) at that address, and if so delivered shall be deemed to have been received.
- 3. In the absence of such designation or authorization, a notice is:
 - (a) Received if it is physically delivered to the addressee; or
 - (b) Deemed to have been received if it is delivered at the place of business, habitual residence or mailing address of the addressee.
- 4. If, after reasonable efforts, delivery cannot be effected in accordance with paragraphs 2 or 3, a notice is deemed to have been received if it is sent to the addressee's last-known place of business, habitual residence or mailing address by registered letter or any other means that provides a record of delivery or of attempted delivery.

Section I: Introductory Rules

- 5. A notice shall be deemed to have been received on the day it is delivered in accordance with paragraphs 2, 3 or 4 of this Article or attempted to be delivered in accordance with paragraph 4. A notice transmitted by electronic means is deemed to have been received on the day it is sent, except that a notice of arbitration so transmitted is only deemed to have been received on the day when it reaches the addressee's electronic address.
- 6. For the purpose of calculating a period of time under these Rules, such period shall begin to run on the day following the day when a notice is made or deemed to have been made in accordance with paragraphs 2, 3 or 4 of this Article. If the last day of such period is an official holiday or a non-business day at the residence or place of business of the addressee, the period is extended until the first business day which follows. Official holidays or non-business days occurring during the running of the period of time are included in calculating the period.

Article (4): Notice of Arbitration

- 1. The party or parties initiating recourse to arbitration (the "Claimant") shall communicate to the other party or parties (the "Respondent") and to the Administrator a notice of arbitration.
- 2. Arbitral proceedings shall be deemed to commence on the date on which the Administrator receives the notice of arbitration.
- 3. The notice of arbitration shall include the following:
 - (a) A demand that the dispute be referred to arbitration;
 - (b) The names and contact details of the parties and, if known, of their representatives;
 - (c) Identification of the arbitration agreement that is invoked;
 - (d) Identification of any contract or other legal instrument out of or in relation to which the dispute arises or, in the absence of such contract or instrument, a brief description of the relevant relationship;

- (e) A description of the Claim and of the facts supporting it;
- (f) An indication of the relief or remedy sought and any amount claimed;
- (g) A proposal as to the number of arbitrators, language and place of arbitration, if the parties have not previously agreed thereon.
- 4. The constitution of the Tribunal shall not be hindered by any controversy with respect to the sufficiency of the notice of arbitration, which shall be finally resolved by the Tribunal.
- 5. The notice of arbitration shall be accompanied by the appropriate filing fee.

Article (5): Response to the Notice of Arbitration

- 1. Within 30 days after the commencement of the arbitration, the Respondent shall communicate to the Claimant a response to the notice of arbitration, which shall include:
 - (a) The name and contact details of each Respondent;
 - (b) A response to the information set forth in the notice of arbitration, pursuant to Article 4.3 (e) to (g).
- 2. The response to the notice of arbitration may also include:
 - (a) Any plea that an arbitral Tribunal to be constituted under these Rules lacks jurisdiction;
 - (b) A brief description of counterclaims or claims for the purpose of a set-off, if any. A counterclaim or set-off shall contain the same information required of a notice of arbitration under Article 4 (3) and shall be accompanied by the appropriate filing fee;
 - (c) A notice of arbitration in accordance with Article 4 in case the Respondent formulates a Claim against a party to the arbitration agreement other than the Claimant.

Section I: Introductory Rules

- 3. The Tribunal, or the Administrator if the Tribunal has not yet been constituted, may extend any of the time limits established in this Article if it considers such an extension justified.
- 4. Failure of Respondent to submit an answer shall not preclude the arbitration from proceeding.
- 5. In arbitrations with multiple parties, Respondent may make claims or assert set-offs against another Respondent and Claimant may make claims or assert set-offs against another Claimant in accordance with the provisions of this Article.

Article (6): Emergency, Provisional or Precautionary Measures

- 1. A party may apply for emergency relief before the constitution of the Tribunal by submitting a written notice to the Administrator and to all other parties setting forth the nature of the relief sought, the reasons why such relief is required on an emergency basis, and the reasons why the party is entitled to such relief.
- 2. A request for emergency measures shall be accompanied by the appropriate filing fee. The notice shall be submitted concurrent with or following the submission of a Notice of Arbitration. Such notice may be given by email, or as otherwise permitted by Article 4, and must include a statement certifying that all parties have been notified or an explanation of the steps taken in good faith to notify all parties.
- 3. Within one business day of receipt of the notice as provided in paragraph 1 of this Article, the Administrator shall appoint a single emergency arbitrator. Prior to accepting appointment, a prospective emergency arbitrator shall, in accordance with Article 13, disclose to the Administrator any circumstances that may give rise to justifiable doubts as to the arbitrator's impartiality or independence. Any challenge to the appointment of the emergency arbitrator must be made within one business day of the communication by the Administrator to the parties of the appointment of the emergency arbitrator and the circumstances disclosed.

- 4. The emergency arbitrator shall as soon as possible, and in any event within two business days of appointment, establish a schedule for consideration of the application for emergency relief. Such schedule shall provide a reasonable opportunity to all parties to be heard and may provide for proceedings by telephone, video, written submissions, or other suitable means, as alternatives to an in-person hearing. The emergency arbitrator shall have the authority vested in the Tribunal under Article 19, including the authority to rule on his own jurisdiction, and shall resolve any disputes over the applicability of this Article.
- 5. The emergency arbitrator shall have the power to order or award any interim, provisional or precautionary measures that the emergency arbitrator deems necessary, including injunctive relief and measures for the protection or conservation of property. Any such measure may take the form of an interim Award or of an order. The emergency arbitrator shall give reasons in either case. The emergency arbitrator may modify or vacate the interim Award or order. Any interim Award or order shall have the same effect as an interim measure made pursuant to Article 23 and shall be binding on the parties when rendered. The parties shall undertake to comply with such an interim Award or order without delay.
- 6. The emergency arbitrator shall have no further power to act after the Tribunal is constituted. Once the Tribunal has been constituted, the Tribunal may reconsider, modify, or vacate the interim Award or order of emergency relief issued by the emergency arbitrator. The emergency arbitrator may not serve as a member of the Tribunal unless the parties agree otherwise.
- 7. Any interim Award or order of emergency relief may be conditioned on provision of appropriate security by the party seeking such relief.

Section I: Introductory Rules

- 8. A request for interim measures addressed by a party to a judicial authority shall not be deemed incompatible with this Article or with the agreement to arbitrate or a waiver of the right to arbitrate.
- 9. The costs associated with applications for emergency relief, including arbitrator's fees, shall be addressed by the emergency arbitrator, subject to the power of the Tribunal to determine finally the allocation of such costs.

Article (7): Joinder

- 1. A party wishing to join an additional party to the arbitration shall submit to the Administrator a notice of arbitration against the additional party. No additional party may be joined after the appointment of any arbitrator, unless all parties, including the additional party, otherwise agree. The party wishing to join the additional party shall, at that same time, submit the notice of arbitration to the additional party and all other parties. The date on which such notice of arbitration is received by the Administrator shall be deemed to be the date of the commencement of arbitration against the additional party. Any joinder shall be subject to the appointment of arbitrators provisions included in these Rules.
- 2. The request for joinder shall contain the same information required of a notice of arbitration and shall be accompanied by the appropriate filing fee.
- 3. The additional party shall submit an answer in accordance with the provisions of Article 5.
- 4. The additional party may make claims, counterclaims, or assert set-offs against any other party in accordance with the provisions of Article 5.

Article (8): Amendments to the Claim or Defense

During the course of the arbitral proceedings, a party may amend or supplement its Claim or defense, including a counterclaim or a Claim for the purpose of a set-off, unless the Tribunal considers

it inappropriate to allow such amendment or supplement having regard to the delay in making it or prejudice to other parties or any other circumstances. However, a Claim or defense, including a counterclaim or a Claim for the purpose of a set-off, may not be amended or supplemented in such a manner that the amended or supplemented Claim or defense falls outside the jurisdiction of the Tribunal. The Tribunal may permit an amendment or supplement subject to an Award of costs and/or the payment of filing fee as determined by the Administrator.

Article (9): Representation and Assistance

Each party may be represented or assisted by persons chosen by it. The names and addresses of such persons must be communicated to all parties and to the Tribunal. Such communication must specify whether the appointment is being made for purposes of representation or assistance. Where a person is to act as a representative of a party, the Tribunal, on its own initiative or at the request of any party, may at any time require proof of authority granted to the representative in such a form as the Tribunal may determine.

Article (10): Administrative Conference

The Administrator may conduct an administrative conference before the Tribunal is constituted to facilitate party discussion and agreement on issues such as arbitrator selection, process efficiencies, and any other administrative matters.



Section II Composition of the Tribunal

Article (11): Number of Arbitrators

If the parties have not previously agreed on the number of arbitrators, one arbitrator shall be appointed unless the Administrator, after consultation with the parties, determines at its discretion that three arbitrators are appropriate because of the size, complexity, or other circumstances of the case.

Article (12): Appointment of Arbitrators

- 1. The parties may agree upon any such procedure for appointing arbitrators and shall inform the Administrator as to such procedure. In the absence of parties' agreement as to such procedure, the Administrator may use the SCCA list method as provided in paragraph 6 of this Article.
- 2. The parties may agree to select arbitrators, with or without the assistance of the Administrator. When such selections are made, the parties shall take into account the arbitrator's availability to serve and shall notify the Administrator so that a notice of appointment can be conveyed to the arbitrators, together with a copy of these Rules.
- 3. If within 45 days after the commencement of the arbitration, all parties have not agreed on a procedure for appointing the arbitrator(s) or have not agreed on the selection of arbitrator(s), the Administrator shall, at the written request of any party, appoint the arbitrator(s). Where the parties have agreed upon a procedure for selecting the arbitrator(s), but all appointments have not been made within the time limits provided by that procedure, the Administrator shall, at the written request of

- any party, perform all functions provided for in that procedure that remain to be performed.
- 4. In making appointments, the Administrator shall, after inviting consultation with the parties, endeavor to appoint suitable arbitrators, taking into account their availability to serve.
- 5. If there are more than two parties to an arbitration, the Administrator may appoint all arbitrators unless the parties have agreed otherwise no later than 45 days after the commencement of the arbitration.
- 6. If the parties have not selected an arbitrator(s) and have not agreed on any other method of appointment, the Administrator, at its discretion, may appoint the arbitrator(s) in the following manner using the SCCA list method:
 - (a) The Administrator shall send simultaneously to each party an identical list of names of persons for consideration as arbitrator(s). The parties are encouraged to agree to an arbitrator from the submitted list and shall advise the Administrator of their agreement;
 - (b) If, after receipt of the list, the parties are unable to agree upon an arbitrator(s), each party shall have 15 days from the transmittal date in which to strike names objected to, number the remaining names in order of preference, and return the list to the Administrator. If a party does not return the list within the time specified, all persons therein shall be deemed acceptable. The parties are not required to exchange selection lists;
 - (c) From among the persons who have been approved on the parties' lists, and in accordance with the designated order of mutual preference, the Administrator shall invite an arbitrator to serve;
 - (d) If the parties fail to agree on any of the persons listed, or if the appointment cannot be made from the submitted lists, the Administrator shall have the power to make the appointment without the submission of additional lists;

Section II: Composition of the Tribunal

(e) The Administrator shall designate the presiding arbitrator.

Article (13): Disclosure

- 1. Arbitrators acting under these Rules shall be impartial and independent and shall act in accordance with the terms of the Notice of Appointment provided by the Administrator.
- 2. Upon accepting appointment, an arbitrator shall sign the Notice of Appointment provided by the Administrator affirming that the arbitrator is available to serve and is independent and impartial. The arbitrator shall disclose any circumstances that may give rise to justifiable doubts as to the arbitrator's impartiality or independence.
- 3. If, at any stage during the arbitration, circumstances arise that may give rise to such doubts, an arbitrator or party shall promptly disclose such information to all parties and to the Administrator. Upon receipt of such information from an arbitrator or a party, the Administrator shall communicate it to all parties.
- 4. Disclosure by an arbitrator or party does not necessarily indicate belief by the arbitrator or party that the disclosed information gives rise to justifiable doubts as to the arbitrator's impartiality or independence.
- 5. Failure of a party to disclose any circumstances that may give rise to justifiable doubts as to an arbitrator's impartiality or independence within a reasonable period after the party becomes aware of such information constitutes a waiver of the right to challenge an arbitrator based on those circumstances.
- 6. Parties, arbitrators, and prospective arbitrators shall avoid ex-parte communications regarding the arbitration. If any such communication is made, other parties and arbitrators shall be informed immediately of its substance and reasons for such communications.

Article (14): Challenge of Arbitrators

- 1. Any arbitrator may be challenged if circumstances exist that give rise to justifiable doubts as to the arbitrator's impartiality or independence.
- 2. The Administrator, on its own initiative, may, by a final decision, remove an arbitrator for failing to perform his duties.
- 3. A party that intends to challenge an arbitrator shall send notice of its challenge to the Administrator within 15 days after it has been notified of the appointment of the challenged arbitrator, or within 15 days after the circumstances mentioned in Article 13 became known to that party. The notice shall state in writing the reasons for the challenge.
- 4. Upon receipt of such challenge, the Administrator shall notify the other party of the challenge and give such party an opportunity to respond. The Administrator will not send notice of the challenge to the any member of the Tribunal but will notify the Tribunal that a challenge has been received, without identifying the party challenging. The Administrator may advise the challenged arbitrator of the challenge and request information from the challenged arbitrator relating to the challenge.
- 5. When an arbitrator has been challenged by a party, all parties may agree to the challenge. The arbitrator may also, after the challenge, withdraw from his office. In neither case does this imply acceptance of the validity of the grounds for the challenge.
- 6. If all parties do not agree to the challenge or the challenged arbitrator does not withdraw, the Administrator in its sole discretion shall make the decision on the challenge.

Article (15): Replacement of an Arbitrator

If an arbitrator resigns, is incapable of performing his duties, or is removed for any reason and the office becomes vacant, a substitute arbitrator shall be appointed pursuant to the provisions of Article 12.

Section II: Composition of the Tribunal

Article (16): Exclusion of Liability

The members of the Tribunal, the emergency arbitrator, the Administrator and the SCCA board shall not be liable to any party for any act or omission in connection with any arbitration under these Rules, except to the extent that such a limitation of liability is prohibited by applicable law. The parties agree that no arbitrator nor the Administrator and the SCCA board shall be under any obligation to make any statement about the arbitration, and no party shall seek to make any of these persons a party or witness in any judicial or other proceeding related to the arbitration.





Section III Arbitral Proceedings

Article (17): Place of Arbitration

- 1. If the parties do not agree on the place of arbitration by a date established by the Administrator, the Administrator may initially determine the place of arbitration subject to a final determination to be made by the Tribunal.
- 2. A final determination of the place of arbitration shall be presented by the Tribunal having regard to the circumstances of the case and the convenience of the place for the parties.
- 3. The Tribunal may meet at any location it considers appropriate for deliberations, to preview the dispute, or to examine or review the documents. Unless otherwise agreed by the parties, the Tribunal may also meet at any location it considers appropriate for any other purpose, including hearings, witnesses' examination, experts and parties.
- 4. The Award shall be deemed to have been made at the place of arbitration.

Article (18): Arbitration Language

- 1. If the parties do not agree on the language(s) of arbitration by a date established by the Administrator, the Administrator may initially determine the language(s) of the arbitration subject to a final determination made by the Tribunal. The Administrator shall be guided by the language(s) of the arbitration agreement.
- 2. The Tribunal shall, promptly after its appointment, make a final determination regarding the language(s) to be used in the proceedings.

3. The Tribunal may order that any documents annexed to the statement of Claim or statement of defense, and any supplementary documents or exhibits submitted in the course of the proceedings, delivered in their original language, shall be accompanied by a translation into the language(s) agreed upon by the parties or determined by the Tribunal. In the case of multiple languages, the Tribunal may confine the translation to some of them.

Article (19): Arbitral Jurisdiction

- 1. Arbitration agreement shall be considered independent from the contract in dispute. Thus if the contract is voided or terminated for any reason, the arbitration shall continue regardless. The Tribunal shall have jurisdiction to decide on pleadings relating to its competency.
- 2. The Tribunal shall have the power to rule on its own jurisdiction, including any objections with respect to the existence, scope, or validity of the arbitration agreement(s), or with respect to whether all of the claims, counterclaims, and set-offs made in the arbitration may be determined in a single arbitration.
- 3. The Tribunal shall have the power to determine the existence or validity of a contract of which an arbitration clause forms a part. Such an arbitration clause shall be treated as an agreement independent of the other terms of the contract. A decision by the Tribunal that the contract is null and void shall not for that reason alone render invalid the arbitration clause.
- 4. A party must object to the jurisdiction of the Tribunal or to arbitral jurisdiction respecting the admissibility of a claim, counterclaim, or set-off no later than the filing of the answer, as provided in Article 5 of these Rules, to the claim, counterclaim, or set-off that gives rise to the objection. The Tribunal may extend such time limit and may rule on any objection under this Article as a preliminary matter or as part of the final Award on the merits.
- 5. The Tribunal may continue the arbitral proceedings and make an Award, notwithstanding any pending challenge to its jurisdiction before a court.

Section III: Arbitral Proceedings

6. Issues regarding arbitral jurisdiction raised prior to the constitution of the Tribunal shall not preclude the Administrator from proceeding with the constitution of the Tribunal and shall be referred to the Tribunal for determination once constituted.

Article (20): Conduct of Proceedings

- Subject to these Rules, the Tribunal may conduct the arbitration in whatever manner it considers appropriate, provided that the parties are treated with equality and that each party has the right to be heard and is given a fair opportunity to present its case.
- 2. The Tribunal shall conduct the proceedings with a view to expediting the resolution of the dispute. The Tribunal may, promptly after being constituted, conduct a preparatory conference with the parties for the purpose of organizing, scheduling, and agreeing to procedures, including the setting of deadlines for any submissions by the parties. In establishing procedures for the case, the Tribunal and the parties may consider how technology, including electronic communications could be used.
- 3. The Tribunal may decide preliminary issues, bifurcate proceedings, direct the order of proof, exclude cumulative or irrelevant testimony or other evidence, and direct the parties to focus their presentations on issues whose resolution could dispose of all or part of the case.
- 4. At any time during the proceedings, the Tribunal may order the parties to produce documents, exhibits, or other evidence it deems necessary or appropriate. Unless the parties agree otherwise in writing, the Tribunal shall apply Article 21.
- 5. Documents or information submitted to the Tribunal by one party shall at the same time be transmitted by that party to all parties and, unless instructed otherwise by the Administrator, to the Administrator.

- 6. The Tribunal shall determine the admissibility, relevance, materiality, and weight of the evidence.
- 7. The parties shall make every effort to avoid unnecessary delay and expense in the arbitration. The Tribunal may allocate costs, draw adverse inferences, and take such additional steps as are necessary to protect the efficiency and integrity of the arbitration.

Article (21): Exchange of Information

- 1. The Tribunal shall manage the exchange of information between the parties with a view to maintaining efficiency and economy. The Tribunal and the parties should endeavor to avoid unnecessary delay and expense while at the same time avoiding surprise, assuring equality of treatment, and safeguarding each party's opportunity to present its claims and defenses fairly.
- 2. The parties shall exchange all documents upon which each intends to rely on a schedule set by the Tribunal.
- 3. When documents to be exchanged are maintained in electronic form, the party in possession of such documents may make them available in the form most convenient and economical for it (which may be paper copies), unless the Tribunal determines, on application, that there is a compelling need for access to the documents in a different form. Requests for documents maintained in electronic form should be narrowly focused and structured to make searching for them as economical as possible. The Tribunal may direct testing or other means of focusing and limiting any search.
- 4. The Tribunal may, on application, require a party to permit inspection on reasonable notice of relevant premises or objects.

Section III: Arbitral Proceedings

5. In the event a party fails to comply with an order for information exchange, the Tribunal may draw adverse inferences and may take such failure into account in allocating costs.

Article (22): Privilege

The Tribunal shall take into account applicable principles of privilege, such as those involving the confidentiality of communications between a lawyer and client. When the parties, their counsel, or their documents would be subject under applicable law to different rules, the Tribunal should, to the extent possible, apply the same rule to all parties, giving preference to the rule that provides the highest level of protection.

Article (23): Interim, Provisional, Precautionary or other Measures

- 1. At the request of any party, the Tribunal may order or Award any interim, provisional or precautionary measures it deems necessary, including injunctive relief and measures for the protection or conservation of property.
- 2. An interim measure is any temporary measure by which, at any time prior to the issuance of the Award by which the dispute is finally decided, the Tribunal orders a party, for example and without limitation, to:
 - (a) Maintain or restore the *status quo* pending determination of the dispute;
 - (b) Take action that would prevent, or refrain from taking action that is likely to cause, (i) current or imminent harm or, (ii) prejudice to the arbitral process itself;
 - (c) Provide a means of preserving assets out of which a subsequent Award may be satisfied; or
 - (d) Preserve evidence that may be relevant and material to the resolution of the dispute.

- 3. The party requesting an interim measure under paragraphs 2 (a) to (c) shall satisfy the Tribunal that:
 - (a) Harm not adequately reparable by an Award of damages is likely to result if the measure is not ordered, and such harm substantially outweighs the harm that is likely to result to the party against whom the measure is directed if the measure is granted; and
 - (b) There is a reasonable possibility that the requesting party will succeed on the merits of the claim. The determination on this possibility shall not affect the discretion of the Tribunal in making any subsequent determination.
- 4. The Tribunal may modify, suspend or terminate an interim measure it has granted, upon application of any party or, in exceptional circumstances and upon prior notice to the parties, on the Tribunal's own initiative.
- 5. Such interim measures may take the form of an interim order, giving reasons, or Award, and the Tribunal may require security for the costs of such measures.
- 6. A request for interim measures addressed by a party to a judicial authority shall not be deemed incompatible with the agreement to arbitrate or a waiver of the right to arbitrate.
- 7. The Tribunal may in its discretion allocate costs associated with applications for interim relief in any interim order or Award or in the final Award.
- 8. An application for emergency relief prior to the constitution of the Tribunal may be made as provided for in Article 6.

Article (24): Hearing

1. The Tribunal shall give the parties reasonable notice of the date, time, and place of any oral hearing.

Section III: Arbitral Proceedings

- 2. At least 15 days before the hearings, each party shall give the Tribunal and the other parties the names and addresses of any witnesses it intends to present, the subject of their testimony, and the language(s) in which such witnesses will give their testimony.
- 3. The Tribunal shall determine the manner in which witnesses are examined and who shall be present during witness examination.
- 4. Unless otherwise agreed by the parties or directed by the Tribunal, evidence of witnesses may be presented in the form of written statements signed by them. In accordance with a schedule set by the Tribunal, each party shall notify the Tribunal and the other parties of the names of any witnesses who have presented a witness statement whom it requests to examine. The Tribunal may require any witness to appear at a hearing. If a witness whose appearance has been requested fails to appear without valid excuse as determined by the Tribunal, the Tribunal may disregard any written statement by that witness.
- 5. The Tribunal may direct that witnesses be examined through means that do not require their physical presence.
- 6. Hearings are private unless the parties agree otherwise or the law provides to the contrary.
- 7. The Tribunal may decide the case solely on the documents submitted by the parties unless any of the parties requests a hearing.

Article (25): Expert Appointed by the Tribunal

- 1. After consultation with the parties, the Tribunal may appoint one or more independent experts to report to it, in writing, on specific issues to be determined by the arbitral Tribunal. which shall be communicated to the parties.
- 2. The expert shall, before accepting appointment, submit to the Tribunal and to the parties a description of his qualifications and a statement of his impartiality and independence. Within

the time specified by the Tribunal, the parties shall inform the Tribunal whether they have any objections as to the expert's qualifications, impartiality or independence. The Tribunal shall decide promptly whether to accept any such objections. After an expert's appointment, a party may object to the expert's qualifications, impartiality or independence only if the objection is for reasons of which the party becomes aware after the appointment has been made. The Tribunal shall decide promptly what, if any, action to take.

- 3. The parties shall give the expert any relevant information or produce for his inspection any relevant documents or goods that he may require of them. Any dispute between a party and such expert as to the relevance of the required information or production shall be referred to the Tribunal for decision.
- 4. Upon receipt of the expert's report, the Tribunal shall communicate a copy of the report to the parties, which shall be given the opportunity to express, in writing, their opinion on the report. A party shall be entitled to examine any document on which the expert has relied in his report.
- 5. At the request of any party, the Tribunal shall give the parties an opportunity to question the expert at a hearing. At this hearing, parties may present expert witnesses to testify on the points at issue. The provisions of Article 24 shall be applicable to such proceedings.
- 6. The Tribunal may not delegate its decision-making authority to the expert or anyone.

Article (26): Default

- 1. If a party fails to submit an answer in accordance with Article 5, the Tribunal may proceed with the arbitration.
- 2. If a party, duly notified under these Rules, fails to appear at a hearing without showing sufficient cause for such failure, the Tribunal may proceed with the hearing.
- 3. If a party, duly invited to produce evidence or take any other steps in the proceedings, fails to do so within the

Section III: Arbitral Proceedings

time established by the Tribunal without showing sufficient cause for such failure, the Tribunal may make the Award on the evidence before it.

Article (27): Closure of Hearing

- 1. The Tribunal may inquire of the parties if they have any further proof to offer or witnesses to be heard or submissions to make and, if there are none, or if it is satisfied that the record is complete, it may declare the hearing closed.
- 2. After the proceedings are closed, no further submission or argument may be made, or evidence produced. However, in exceptional circumstances The Tribunal may, decide, on its own initiative or upon application of a party, to reopen the hearing at any time before the Award is made.

Article (28): Waiver of Right to Object

A party who knows of any non-compliance with any provision or requirement of the Rules or the arbitration agreement, and proceeds with the arbitration without promptly stating an objection in writing, shall be deemed to have waived its right to object.





Section IV The Award

Article (29): Awards, Orders, Decisions

- 1. In addition to making a final Award, the Tribunal may make interim, interlocutory, or partial Awards, orders, decisions, and rulings.
- 2. When there is more than one arbitrator, any Award, order, decision, or other ruling of the Tribunal shall be made by a majority of the arbitrators.
- 3. In the case of questions of procedure, when the Tribunal so authorizes, the presiding arbitrator may decide alone, subject to revision, if any, by the Tribunal.

Article (30): Time, Form, and Effect of the Award

- 1. All Awards rendered shall be reasoned and made in writing. The Tribunal shall make every effort to deliberate and prepare the Award. The Award shall be signed by the arbitrators, and it shall contain the date on which the Award was made and indicate the place of arbitration. Where there is more than one arbitrator and any of them fails to sign, the Award shall state the reason for the absence of the signature.
- 2. Unless otherwise agreed by the parties, specified by law, or determined by the Administrator, the final Award shall be made no later than 60 days from the date of the closing of the hearing.

- 3. The Award shall be final and binding on the parties, and the parties shall carry out all Awards without delay.
- 4. An Award may only be made public with the consent of all parties or where and to the extent disclosure is required of a party by legal duty, to protect or pursue a legal right or in relation to legal proceedings before a court or other competent authority.
- 5. The Award shall be transmitted in draft form by the Tribunal to the Administrator. The Award shall be communicated to the parties by the Administrator.
- 6. If applicable law requires an Award to be filed or registered, the Tribunal shall cause such requirement to be satisfied. It is the responsibility of the parties to bring such requirements or any other procedural requirements of the place of arbitration to the attention of the Tribunal.

Article (31): Applicable Law

- 1. Without prejudice to the rules of Sharia, The Tribunal shall apply the rules of law designated by the parties as applicable to the substance of the dispute. Failing such designation by the parties, the Tribunal shall apply the law which it determines to be appropriate.
- 2. If the two parties to arbitration expressly agree to authorize the Tribunal to decide the dispute equitably, it may rule on the dispute in accordance with the principles of equity and justice.
- 3. In all cases, the Tribunal shall decide in accordance with the terms of the contract, if any, and shall take into account any usage of trade applicable to the transaction.
- 4. This set of Rules will apply without prejudice to the rules of Sharia, and any international convention(s) to which the Kingdom is a party.

Article (32): Settlement or other Reasons for Termination

1. If the parties settle the dispute before a final Award is made,

Section IV: The Award

the Tribunal shall terminate the arbitration and, if requested by all parties, may record the settlement in the form of a consent Award on agreed terms. The Tribunal is not obliged to give reasons for such an Award. Where an arbitral Award on agreed terms is made, the provisions of Article 30, paragraphs, 4 and 5, shall apply.

- 2. If continuation of the arbitration becomes unnecessary or impossible due to the non-payment of deposits required by the Administrator, the arbitration may be suspended or terminated as provided in Article 37 (3).
- 3. If continuation of the arbitration becomes unnecessary or impossible for any reason other than as stated in paragraphs 1 and 2 of this Article, the Tribunal shall inform the parties of its intention to terminate the arbitration. The Tribunal shall, thereafter, issue an order terminating the arbitration, unless a party raises justifiable grounds for objection.

Article (33): Interpretation, and Correction of Award

- 1. Within 30 days after the receipt of an Award, any party, with notice to the other party, may request the Tribunal to interpret the Award or correct any clerical, typographical, or computational errors or make an additional Award as to claims, counterclaims, or set-offs presented but omitted from the Award.
- 2. If the Tribunal considers such a request justified after considering the contentions of the parties, it shall comply with such a request within 30 days after receipt of the parties' last submissions respecting the requested interpretation, correction, or additional Award. Any interpretation, correction, or additional Award made by the Tribunal shall contain reasoning and shall form part of the Award. The provisions of Article 30, paragraphs, 4 and 5, shall apply.

- 3. The Tribunal on its own initiative may, within 30 days of the date of the Award, correct any clerical, typographical, or computational errors, or make an additional Award as to claims presented but omitted from the Award. The provisions of Article 30, paragraphs, 4 and 5, shall apply.
- 4. The parties shall be responsible for all costs associated with any request for interpretation, correction, or an additional Award, and the Tribunal may allocate such costs.

Article (34): Costs of Arbitration

- 1. The Tribunal shall fix the costs of arbitration in its Award. The Tribunal may allocate such costs among the parties if it determines that allocation is reasonable, taking into account the circumstances of the case including the extent to which each party has conducted the arbitration in an expeditious and cost-effective manner.
- 2. Such costs may include:
 - (a) the fees and expenses of the arbitrators;
 - (b) the costs of assistance required by the Tribunal, including its experts;
 - (c) the fees and expenses of the Administrator;
 - (d) the reasonable legal and other costs incurred by the parties;
 - (e) any costs incurred in connection with a notice for interim or emergency relief pursuant to Articles 6 or 23;
 - (f) any costs associated with information exchange.

Section IV: The Award

Article (35): Administrative Fees

- The administrative fees shall be determined based on the amount in dispute in accordance with Appendix annexed to these Rules. The administrative fee schedule shall also apply to counterclaims.
- 2. In exceptional circumstances, the amount of any fees and expenses fixed by the Administrator may be subject to readjustment at any time during the arbitration. In all cases, any party shall be free to pay any other party's share of any advance on costs should such other party fail to pay its share.

Article (36): Fees and Expenses of Arbitrators

- 1. The fees and expenses of the arbitrators shall be reasonable in amount. The fee schedule shall be in accordance with Appendix annexed to these Rules and shall also apply to counterclaims.
- 2. As soon as practicable, the Administrator shall fix the advance on costs in an amount likely to cover the fees and expenses of the Tribunal.
- 3. In exceptional circumstances, the amount of any fees and expenses fixed by the Administrator may be subject to readjustment at any time during the arbitration. In all cases, any party shall be free to pay any other party's share of any advance of costs should such other party fail to pay its share.
- 4. Any dispute regarding the fees and expenses of the Tribunal shall be determined by the Administrator.

Article (37): Deposits

1. The Administrator may request the parties to deposit appropriate amounts as an advance for the costs referred to in Article 34.

- 2. During the course of the arbitral proceedings the Administrator may request supplementary deposits from the parties.
- 3. If the deposits requested are not paid promptly and in full, the Administrator shall so inform the parties in order that one or more of them may make the required payment. If such payment is not made, the Tribunal may order the suspension or termination of the proceedings. If the Tribunal has not yet been appointed, the Administrator may suspend or terminate the proceedings.
- 4. Failure of a party asserting a Claim or counterclaim to pay the required deposits shall be deemed a withdrawal of the Claim or counterclaim.
- 5. After a termination order or final Award has been made, the Administrator shall render an accounting to the parties of the deposits received and return any unexpended balance to the parties.

Article (38): Confidentiality

- 1. Confidential information disclosed during the arbitration by the parties or by witnesses shall not be divulged by an arbitrator, nor by the Administrator. Except as provided in Article 22, unless otherwise agreed by the parties or required by applicable law, the members of the Tribunal and the Administrator shall keep confidential all matters relating to the arbitration or the Award.
- 2. Unless the parties agree otherwise, the Tribunal may make orders concerning the confidentiality of the arbitration or any matters in connection with the arbitration and may take measures for protecting trade secrets and confidential information.

Article (39): Interpretation of Rules

1. The Tribunal, or any emergency arbitrator appointed under Article 6, shall interpret and apply these Rules insofar as

Section IV: The Award

- they relate to their powers and duties. The Administrator shall interpret and apply all other Rules.
- 2. In case of conflict with regards to the interpretation of the Rules, the Arabic language shall prevail as official language.





Appendix I Arbitration Costs and Fees

Effective September 2021 - Safar 1443



Appendix I Arbitration Costs and Fees

Article (1): Registration Fee

A request to commence an arbitration pursuant to Article 4 of the SCCA Arbitration Rules ("the Rules") must be accompanied by a registration fee of SAR 5,000. Such payment is non-refundable and shall be credited to the claimant's share of the SCCA administrative fees

Article (2): SCCA Administrative Fees and Expenses

- 1. As per Article 35 of the Rules, the SCCA shall determine the SCCA administrative fees and expenses. As per Article 37 of the Rules, the SCCA may fix an advance deposit for SCCA administrative fees and expenses, which the parties shall pay in equal shares or as otherwise requested by the SCCA. The SCCA administrative fees shall be based on the amount in dispute and calculated in accordance with Article 4 of this Appendix.
- 2. In exceptional circumstances, including but not limited to situations where the parties have agreed upon additional services or conduct the arbitration in a manner not reasonably contemplated at the time of the constitution of the arbitral tribunal, the SCCA may fix a higher amount in SCCA administrative fees than would result from applying the SCCA Fee Schedule.
- 3. If an arbitration terminates before the arbitral tribunal has issued the final award, the SCCA shall determine the SCCA administrative fees at its discretion, taking

- into account the stage reached in the arbitral proceedings and any other relevant circumstances.
- 4. The parties are jointly and severally liable for the SCCA administrative fees and expenses.

Article (3): Arbitral Tribunal Fees and Expenses

- 1. As per Article 36 of the Rules, the SCCA shall determine the arbitral tribunal's fees in accordance with the SCCA Fee Schedule in force at the time of commencement of the arbitration. The parties may agree to an alternative fee arrangement based on an hourly rate prior to the constitution of the arbitral tribunal.
 - a) Where the arbitral tribunal fees are based on the SCCA Fee Schedule, the following applies:
 - 1) As per Article 37 of the Rules, the SCCA may fix an advance deposit in an amount likely to cover the arbitral tribunal fees and expenses, which the parties shall pay in equal shares or as otherwise requested by the SCCA. The arbitral tribunal fees shall be based on the amount in dispute and calculated in accordance with Article 4 of this Appendix. The arbitral tribunal fees shall cover all the work carried out in connection with the arbitration and are deemed to be approved by the arbitrator upon accepting the appointment. The SCCA shall finally determine the arbitral tribunal fees and expenses at the conclusion of the proceedings.
 - 2) In case of a panel of three arbitrators, the total in arbitral tribunal fees will be distributed as follows: 40% for the presiding arbitrator and 30% for each co-arbitrator unless the mem-

Appendix I: Arbitration Costs and Fees

- bers of the arbitral tribunal agree otherwise. The SCCA shall determine any disagreement regarding the distribution of the arbitral tribunal fees.
- 3) The SCCA shall release the arbitral tribunal fees and expenses once the arbitral tribunal has issued the final award or termination order, or when the SCCA has otherwise closed the case. Upon request by an arbitrator, the SCCA may make partial payments after certain milestones in the arbitral proceedings have been completed.
- 4) In the event of any exceptional circumstances beyond an arbitrator's control that render him or her incapable of performing his or her duties, or in the event of an arbitrator's death after accepting appointment and before issuing the final award, the SCCA, in consultation with the remaining arbitrators, shall determine the amount in arbitral tribunal fees to be paid to that arbitrator, taking into account the work he or she has performed and all other relevant circumstances.
- 5) In the event that an arbitrator withdraws, has been removed or successfully challenged pursuant to Article 14 of the Rules, or ceases to serve as an arbitrator for any other reason, the SCCA, in consultation with the remaining arbitrators, shall determine the amount in arbitral tribunal fees to be paid to that arbitrator, taking into account the work he or she has performed and all other relevant circumstances.

- 6) If an arbitration terminates before the arbitral tribunal has issued the final award, the SCCA shall determine the arbitral tribunal's fees, taking into account the stage reached in the arbitral proceedings and any other relevant circumstances.
- b) Where the arbitral tribunal fees are based on an hourly rate, the following applies:
 - 1) The hourly rate agreed to by the designating party and that designated co-arbitrator shall apply. In case of a sole or presiding arbitrator, the hourly rate agreed to by the parties and that sole or presiding arbitrator shall apply. If the parties fail to agree or where the SCCA administratively appoints the arbitrator, the SCCA shall determine the hourly rate to be paid to that arbitrator.
 - 2) The SCCA shall finally determine any disagreement regarding the reasonableness of any requests for arbitral tribunal fees.
 - 3) In the event of a postponement or cancellation of scheduled hearings pursuant to Article 24 of the Rules, the arbitral tribunal shall be compensated for lost time, subject to the following conditions:
 - a. Where a scheduled hearing is cancelled at the arbitral tribunal's request, or at the request of any party 60 days before the first hearing day, no fees shall be paid to the arbitral tribunal;
 - b. Where a scheduled hearing is cancelled at the request of any party less than 60 days

Appendix I: Arbitration Costs and Fees

- but more than 30 days before the first hearing day, the arbitral tribunal shall be paid for each day of lost time at 50% of the applicable hourly rate for eight hours;
- c. Where a scheduled hearing is cancelled at the request of any party 30 days or less before the first hearing day, the arbitral tribunal shall be paid for each day of lost time at 75% of the applicable hourly rate for eight hours;
- d. If an arbitrator has spent time on the case during the scheduled hearing days, he or she shall be paid based on his or her hourly rate or the cancellation fee, whichever is higher.
- e. Where scheduled hearing days are postponed or cancelled other than by agreement of all parties or request of the arbitral tribunal, this may be taken into account when considering any subsequent apportionment of costs.
- 2. As per Article 36 of the Rules, the arbitral tribunal's expenses, including those of any former or substitute arbitrators, shall be reasonable and shall be determined by the SCCA at the conclusion of the proceedings. The SCCA shall finally determine any disagreement regarding the reasonableness of any requests for arbitral tribunal expenses. Expenses may include, without limitation:
 - a) Reasonable travel, accommodation, and other expenses incurred by the arbitrators for the purposes of fulfilling their obligations as arbitrators;

- b) Reasonable costs of expert advice pursuant to Article 25 of the Rules and any other assistance for the arbitral tribunal.
- 3. The parties are jointly and severally liable for the arbitral tribunal fees and expenses, irrespective of which party appointed an arbitrator.

Article (4): Methods of Calculation

- 1. To determine the SCCA administrative fees under the SCCA Fee Schedule, as well as the arbitral tribunal fees where subject to the SCCA Fee Schedule, the amount in dispute shall be calculated as follows:
 - a) The amount in dispute shall be calculated by adding together all claims filed by the parties.
 - b) If any claim, counterclaim, or cross-claim is not quantified or remains undetermined, the SCCA shall determine the amount to be used for the calculation, taking into account the circumstances of the case.
 - c) If any claim, counterclaim, or cross-claim is non-monetary in nature, the filing party shall estimate the value behind its claim, counterclaim, or cross-claim to be used as the basis for the calculation. Absent that, the SCCA shall determine the amount to be used for the calculation, taking into account the circumstances of the case.
 - d) Article 4 (1) equally applies to any set-off, unless the arbitral tribunal, in consultation with the parties, determines that such set-off will not require significant additional work.
- 2. Any increase in the amount in claims, counterclaims, cross-claims, or set-offs shall be taken into account in

Appendix I: Arbitration Costs and Fees

the calculation of the SCCA administrative fees under the SCCA Fee Schedule, as well as the arbitral tribunal fees where subject to the SCCA Fee Schedule.

Article (5): Deposits

- 1. As per Article 37, the SCCA shall fix the advance deposit for costs referred to in Article 34(2)(a)(b)(c)(e). Where the SCCA Fee Schedule applies, the SCCA may fix an advance deposit for arbitral tribunal fees that is higher or lower than the average amount that would result from applying the SCCA Fee Schedule.
- 2. Where counterclaims, set-offs or cross-claims are submitted, or where it otherwise appears appropriate in the circumstances, the SCCA may request separate advance deposits for the SCCA administrative fees and the arbitral tribunal fees.
- 3. Upon payment of the advance deposit, the SCCA shall forward the case file to the arbitral tribunal.
- 4. Any request for additional deposits under Article 37 of the Rules shall take into account fluctuations in the amount in dispute, changes in estimated expenses, the use of experts appointed by the arbitral tribunal, or any other circumstances, including but not limited to evolving difficulties or the complexity of the arbitration.
- 5. When the parties have agreed to an alternative fee arrangement based on an hourly rate, the SCCA may, in consultation with the arbitral tribunal, request the parties to deposit an amount likely to cover the arbitral tribunal fees and expenses.
- 6. Upon request by any party, the SCCA may allow the advance deposit for arbitral tribunal fees to be paid in installments, subject to any terms and conditions the

SCCA deems appropriate.

- 7. If a party's share of arbitral tribunal fees exceeds SAR 1,000,000 ("the Threshold Amount"), such party may post a bank guarantee for any amount above the Threshold Amount. The SCCA shall establish the terms governing all bank guarantees and may modify the Threshold Amount at any time at its discretion. In the event that a party also advances the defaulting party's share, it may do so by posting a bank guarantee to cover the defaulting party's share.
- 8. Once the arbitral tribunal issues the final award, the SCCA shall send the final award to the parties, provided that the SCCA administrative fees and arbitral tribunal fees have been fully paid to the SCCA by the parties.
- 9. After a final award or termination order has been sent to the parties, the SCCA shall render an accounting to the parties of the deposits received and return any unused deposits to the parties after also the time period prescribed in Article 33 has passed.

Article (6): Methods of Payment

- 1. All advance deposits paid on account of the costs referred to in Article 34(2)(a)(b)(c)(e) shall be deposited with the SCCA by wire transfer, check, or other methods approved by the SCCA, and shall remain on deposit until the arbitral tribunal has issued the final award or termination order, or the SCCA has otherwise closed the case. The payment of advance deposits shall not result in any charges for the SCCA.
- 2. Advance deposits do not yield interest or earnings for the parties or the arbitral tribunal.
- 3. Amounts paid to the Arbitral Tribunal do not include

Appendix I: Arbitration Costs and Fees

any possible value added tax (VAT) or other taxes or charges and imposts applicable to arbitral tribunal fees. Parties have a duty to pay any such taxes or charges; however, the recovery of any such charges or taxes is a matter solely between the arbitrator and the parties. Where required by law, the SCCA shall collect withholding tax and remit such tax to the appropriate tax authority.

Article (7): Award Interpretation and Correction Fee

- At its discretion, the SCCA shall fix the costs of the procedure following an application made pursuant to Article 33 of the Rules, which shall include any SCCA administrative fees and expenses and any arbitral tribunal fees and expenses arising in relation to such request.
- The SCCA may request the parties to pay appropriate amounts as an advance deposit to cover SCCA administrative fees and expenses and arbitral tribunal fees and expenses.
- 3. Applications made pursuant to Article 33 of the Rules shall not be forwarded to the arbitral tribunal until deposits are paid in full.

Article (8): Tribunal Secretary Fees and Expenses

- 1. Where the arbitral tribunal appoints a tribunal secretary, the SCCA Secretary Regulations in force at the time of commencement of the arbitration shall apply.
- 2. As per Section 24 of the SCCA Secretary Regulations, the arbitral tribunal shall determine the total in tribunal secretary fees and expenses and shall state them in the final award as per Section 27 of the SCCA Secretary

Regulations.

- 3. Where the SCCA Fee Schedule applies, the tribunal secretary fees shall form part of the arbitral tribunal fees in accordance with Section 25 of the SCCA Secretary Regulations. In all other cases, the arbitral tribunal shall fix an hourly rate after consultation with the parties and the SCCA. The tribunal secretary fees and expenses shall be reasonable and, where applicable, charged separately.
- 4. The parties are jointly and severally liable for the tribunal secretary fees and expenses.

Appendix I: Arbitration Costs and Fees

SCCA Fee Schedule for Regular Cases under the SCCA Rules

from	200,001	to	400,000	10,000	+ 1.284%	of amount over 200,000	20,759	+7.598%	of amount over 200,000
from	400,001	to	800,000	12,568	+ 2.024%	of amount over 400,000	35,958	+ 4.3036%	of amount over 400,000
from	800,001	to	2,000,000	20,664	+ 1.672%	of amount over 800,000	53,172	+ 3.8287%	of amount over 800,000
from	2,000,001	to	4,000,000	40,728	+ 1.208%	of amount over 2,000,000	99,116	+ 2.2556%	of amount over 2,000,000
from	4,000,001	to	8,000,000	64,888	+ 0.76%	of amount over 4,000,000	144,228	+ 2.0182%	of amount over 4,000,000
from	8,000,001	to	20,000,000	95,288	+ 0.368%	of amount over 8,000,000	224,701	+0.7789%	of amount over 8,000,000
from	20,000,001	to	40,000,000	139,448	+ 0.2%	of amount over 20,000,000	318,169	+ 0.5096%	of amount over 20,000,000
from	40,000,001	ťo	100,000,000	179,448	+ 0.08%	of amount over 40,000,000	420,089	+0.1349%	of amount over 40,000,000
from	100,000,001	to	200,000,000	227,448	+ 0.072%	of amount over 100,000,000	501,029	+0.1276%	of amount over 100,000,000
from	200,000,001	to	300,000,000	300,000			628,629	+0.0879%	of amount over 200,000,000
from	300,000,001	to	400,000,000	000,008			716,529	+0.06%	of amount over 300,000,000
from	400,000,001	to	1,000,000,000	300,000			776,529	+0.036%	of amount over 400,000,000
over	1,000,000,000			300,000			992,529	+0.025%	of amount over 1,000,000,000
Undete	Undetermined Amount			179,448			420,089		

Saudi Riyal (SAR) is the official currency (USD 1 = SAR 3.75). Please use our fee calculator on our website at <u>www.sadr.org</u> to calculate the fees. The SCCA reserves the right to revise the SCCA Fee Schedule from time to time as it deems appropriate.

**

A non-refundable Registration Fee of SAR 5,000 is payable in full by the claimant when a claim is filed and will be credited towards the claimant's share of the SCCA Administrative Fees

The amounts calculated using this formula represent the average amount in arbitral tribunal fees. The SCCA may fix advance deposit that is up to 30% higher or lower than the average amount. The SCCA will ultimately determine arbitral tribunal fees at the end of the case. The arbitral tribunal fees for a panel of three arbitralors is three times the amount calculated for sole arbitrator. The payout of the minimum amount in arbitral tribunal fees is only guaranteed if a case ends in a final award. Amounts paid to the Arbitral Tribunal do not include any possible value added tax (VAT) or other taxes or charges and imposts applicable to the arbitral tribunal fees.



Appendix II Expedited Procedure Rules

Effective: 15 October 2018 - 6 Safar 1440

Appendix II

Expedited Procedure Rules

Article (1): Scope of Application

- 1. The Expedited Procedure provisions provided for in this Appendix supplement the SCCA Arbitration Rules. By agreeing to arbitration under SCCA Rules, the parties agree that the Expedited Procedure provisions shall apply.
- 2. The Expedited Procedure provisions set forth in this Appendix shall apply if either of the following criteria is satisfied:
 - (a) The amount in dispute does not exceed SAR 4,000,000 or an equivalent amount, exclusive of the costs of arbitration, representing the aggregate of the claims, counterclaims and cross-claims;
 - (b) The parties so agree.
- 3. The Expedited Procedure provisions shall not apply if:
 - (a) The arbitration agreement under the Rules was concluded before the date on which the Expedited Procedure Appendix came into force, unless the parties agree to opt into the Expedited Procedure provisions;
 - (b) The parties have agreed to opt out of the Expedited Procedure provisions.
- 4. The Administrator may, at any time during the arbitral proceedings, on its own initiative or upon the request of a party and after consultation with the Tribunal and the parties, decide that the Expedited Procedure provisions shall no longer apply to the case. In such case, the Tribunal shall remain in place unless the Administrator considers that it is appropriate to replace or reconstitute the Tribunal.

Article (2): Notice of Arbitration and Response

- The claimant shall, concurrent with filing the notice of arbitration, send a copy of the notice to the respondent and shall notify the Administrator of having done so, specifying the mode of service employed and the date of service.
- 2. In the notice of arbitration and the response, the parties shall present detailed submissions on the facts, claims, counterclaims, cross-claims, set-offs and defenses, together with all of the evidence then available on which such party intends to rely.
- 3. The Administrator may abbreviate the time limit for response provided for in Article 5 of the Rules.

Article (3): Administrative Meeting

Upon receiving the notice of arbitration under these provisions, the Administrator may conduct an administrative meeting with the parties and their representatives to discuss the application of these procedures, arbitrator selection, any attempt to mediate the dispute, and any other administrative matters.

Article (4): Objection to Applicability of the Expedited Procedure

If an objection is submitted as to the applicability of the Expedited Procedure, the Administrator shall make a final determination.

Article (5): Amendment of Claim or Counterclaim

If, after filing of the initial claims and counterclaims, a party amends its claim or counterclaim such that it exceeds SAR 4,000,000 exclusive of the costs of arbitration, the case will continue to be administered pursuant to these Expedited

Appendix II: Expedited Procedure Rules

Procedure provisions unless the parties agree otherwise or the Administrator or the Tribunal determines otherwise. After the Tribunal is constituted, no new or different claim or counterclaim, nor any change in the amount in dispute, may be submitted unless the Tribunal deems appropriate.

Article (6): Composition of the Arbitral Tribunal

- 1. The parties may nominate a sole arbitrator within a time limit to be fixed by the Administrator.
- 2. In the absence of parties' nomination, the sole arbitrator shall be appointed as follows:
 - (a) The Administrator shall simultaneously submit to each party an identical list of five proposed arbitrators. The parties may agree to an arbitrator from this list and shall so advise the Administrator;
 - (b) If the parties are unable to agree upon an arbitrator, each party may strike two names from the list, number the remaining names in order of preference and return it to the Administrator within 10 days from the transmittal date of the list to the parties. The parties are not required to exchange selection lists;
 - (c) From among the persons who have been approved on the parties' lists, and in accordance with the designated order of mutual preference, the Administrator shall invite an arbitrator to serve;
 - (d) If, for any reason, the appointment cannot be made from the submitted lists, the Administrator may make the appointment without the circulation of additional

lists. The parties will be given notice by the Administrator of the arbitrator's appointment, together with any disclosures.

Article (7): Procedural Conference and Order

- 1. After the Tribunal's constitution, the Tribunal may schedule a procedural preliminary conference with the parties, their representatives and the Administrator to discuss the case procedure and schedule. Within 14 days of its constitution, the Tribunal shall issue a procedural order. The Administrator may extend this time limit pursuant to a reasoned request from the Tribunal or on its own initiative if it decides it is necessary to do so.
- 2. The Tribunal may, after consultation with the parties, decide if the dispute is to be decided solely on the basis of documentary evidence, or if a hearing is required for the examination of any witness or expert witness as well as for any oral argument.

Article (8): Proceedings by Written Submissions

- 1. In consultation with the parties, the Tribunal shall establish a timetable in the procedural order referred to in Article 7 of this Appendix for completing and exchanging any written submissions.
- 2. In Expedited Proceedings based on written submissions, all submissions are due within 30 days of the procedural order's date unless the Tribunal determines otherwise.
- 3. After submission of the response to the notice of arbitration, the parties shall in principle be entitled to submit one statement of claim and one statement of

Appendix II: Expedited Procedure Rules

- defense and counterclaim, and, where applicable, one statement of defense in reply to the counterclaim or cross-claim.
- 4. Requests for documents production shall be limited to documents that are directly relevant to the matters in dispute or to its outcome and shall be reasonably restricted in terms of time frame, subject matter and persons or entities to which the requests pertain.

Article (9): Proceedings with an Oral Hearing

- 1. In Expedited Proceedings in which an oral hearing is to be held, the Tribunal shall set the date, time and location of the hearing. The hearing may take place in person or via video conference, telephone or other suitable means, at the discretion of the Tribunal. No transcript or stenographic record shall be required unless the parties otherwise agree.
- 2. The oral hearing shall take place within 60 days of the procedural order's date unless the Tribunal deems it necessary to extend that period. The oral hearing shall not exceed one day unless the Tribunal determines otherwise. The Administrator shall notify the parties in advance of the hearing date.

Article (10): The Award

1. The Award shall be made in writing and shall be final and binding on the parties. Unless otherwise agreed by the parties, specified by law or determined by the Administrator, the award shall be made no later than 30 days from the date of the closure of hearing as provided for in Article 27 of the Rules.

2. In any case, the final Award shall be made within 180 days from the Tribunal's constitution date unless the Administrator decides, in exceptional circumstances, to extend the time for making such final Award.

Article (11): Costs

- 1. The SCCA Expedited Procedure administrative fee shall be equal to 80% of the arbitration administrative fee that would be applicable pursuant to the Appendix to SCCA Arbitration Rules on arbitration costs and fees
- 2. The SCCA Expedited Procedure arbitrator fee shall be equal to 70% of the one arbitrator Tribunal fee that would be applicable pursuant to the Appendix to SCCA Arbitration Rules on arbitration costs and fees.

Article (12): General Rules

- 1. The Expedited Procedure shall be applied as described in this Appendix in addition to any other provision of the Rules that is not in conflict with the Expedited Procedure provisions.
- 2. The Administrator may abbreviate the time limits provided for in this Appendix or in the Rules, as well as any time limits that it has set.



Appendix II: Expedited Procedure Rules

SCCA Fee Schedule for Expedited Cases under the SCCA Rules

			336,071				143,558			Undetermined Amount	Undeter
- 20%	of amount over 1,000,000,000	+ 0.025%	992,529				240,000			1,000,000,000	over
- 20%	of amount over 400,000,000	+ 0.036%	776,529				240,000	1,000,000,000	to	400,000,001	from
- 20%	of amount over 300,000,000	+ 0.06%	716,529				240,000	400,000,000	to	300,000,001	from
- 20%	of amount over 200,000,000	+ 0.0879%	628,629				240,000	300,000,000	to	200,000,001	from
- 20%	of amount over 100,000,000	+ 0.1276%	501,029	- 20%	of amount over 100,000,000	+ 0.072%	227,448	200,000,000	to	100,000,001	from
- 20%	of amount over 40,000,000	+ 0.1349%	420,089	- 20%	of amount over 40,000,000	+ 0.08%	179,448	100,000,000	to	40,000,001	from
- 20%	of amount over 20,000,000	+ 0.5096%	318,169	- 20%	of amount over 20,000,000	+ 0.2%	139,448	40,000,000	to	20,000,001	from
- 20%	of amount over 8,000,000	+ 0.7789%	224,701	- 20%	of amount over 8,000,000	+ 0.368%	95,288	20,000,000	ťo	8,000,001	from
- 20%	of amount over 4,000,000	+ 2.0182%	144,228	- 20%	of amount over 4,000,000	+ 0.76%	64,888	8,000,000	to	4,000,001	from
- 20%	of amount over 2,000,000	+ 2.2556%	99,116	- 20%	of amount over 2,000,000	+ 1.208%	40,728	4,000,000	to	2,000,001	from
- 20%	of amount over 800,000	+ 3.8287%	53,172	- 20%	of amount over 800,000	+ 1.672%	20,664	2,000,000	to	800,001	from
- 20%	of amount over 400,000	+ 4.3036%	35,958	- 20%	of amount over 400,000	+ 2.024%	12,568	800,000	to	400,001	from
- 20%	of amount over 200,000	+ 7.598%	20,759	- 20%	of amount over 200,000	+ 1.284%	10,000	400,000	to	200,001	from
- 20%	of amount in dispute	10.3795%					8,000	200,000			up to
	Arbitral Tribunal Fees ***	Arbitral		*	SCCA Administrative Fees **	CCA Ad	S	pute *	1 Dis	Amount in Dispute *	

Saudi Riyal (SAR) is the official currency (USD 1 = SAR 3.75). Please use our fee calculator on our website at www.sadr.org to calculate the fees. The SCCA reserves the right to revise the SCCA Fee Schedule from time to time as it deems appropriate.

**

A non-refundable Registration Fee of SAR 5,000 is payable in full by the claimant when a claim is filed and will be credited towards the claimant's share of the SCCA Administrative Fees.

The amounts calculated using this formula represent the average amount in arbitral tribunal fees. The SCCA may fix advance deposit that is up to 30% higher or lower than the average amount. The SCCA will ultimately determine arbitral tribunal fees at the end of the case. The payout of the minimum amount in arbitral tribunal fees only guaranteed if a case ends in a final award. Amounts paid to the Arbitral Tribunal do not include any possible value added tax (VAT) or other taxes or charges and imposts applicable to the arbitral tribunal fees.

Appendix III Emergency Arbitrator Procedure

Effective: 15 October 2018 - Safar 1440



Appendix III Emergency Arbitrator Procedure

Article (1): Request for Emergency Measures

- 1. A party that seeks emergency relief pursuant to Article 6 of the Rules may, concurrent with or following the filing of a notice of arbitration but prior to the constitution of the Tribunal, file a request for emergency relief with the Administrator.
- 2. The party shall, at the same time as it files the request for emergency relief with the SCCA, send a copy of the request to all other parties. The request for emergency relief shall contain the following information:
 - (a) A description of the circumstances giving rise to the request and of the underlying dispute referred to arbitration:
 - (b) A statement of the emergency relief sought;
 - (c) The reasons why the applicant needs urgent interim or conservatory measures that cannot await the constitution of the Tribunal;
 - (d) The reasons why the applicant is entitled to such emergency relief;
 - (e) A statement certifying that all other parties have been provided with a copy of the request or, if not, an explanation of the steps taken in good faith to provide a copy or notification to all other parties;
 - (f) Comments on the language, the place of the emergency proceedings, and the applicable law; and
 - (g) Proof of advancing the amount referred to in Article 9(1) of this Appendix.
- 3. The request may contain such other documents or information as the applicant considers appropriate or as may contribute to the efficient examination of the request.

- 4. The request shall be drawn up in the language of the arbitration agreed upon by the parties or, in the absence of any such agreement, in the language of the arbitration agreement.
- 5. Emergency arbitrator procedure shall not apply if:
 - (a) The request was submitted after the Tribunal's constitution;
 - (b) The parties have agreed to opt out of the emergency arbitrator provisions; or
 - (c) They have agreed to another pre-arbitral procedure that provides for emergency, provisional or precautionary relief, or similar measures.
- 6. If the Administrator determines, on the basis of the information received, that the emergency arbitrator provisions apply with reference to Article 6 of the Rules and this Appendix, a copy of the request and the documents annexed thereto shall be transmitted to the responding party. If determined otherwise, the Administrator shall inform the parties that the emergency arbitrator proceedings shall not take place and shall send them a copy of the request for information.

Article (2): Appointment of the Emergency Arbitrator

- 1. The Administrator shall appoint an emergency arbitrator within one business day from the receipt of the request.
- 2. No emergency arbitrator shall be appointed after the Tribunal is constituted.
- 3. The emergency arbitrator shall be and remain impartial and independent. Prior to accepting appointment,

Appendix III: Emergency Arbitrator Procedure

a prospective emergency arbitrator shall disclose to the Administrator, in a ccordance with Article 13 of the Rules, any circumstances that may give rise to justifiable doubts as to his impartiality or independence and shall sign a statement of acceptance, availability, impartiality and independence. The Administrator shall provide a copy of such disclosure and statement to the parties.

Article (3): Challenge and Replacement of the Emergency Arbitrator

- 1. Any challenge to the appointment of the emergency arbitrator shall be submitted within one business day of the Administrator's communication to the parties concerning the emergency arbitrator's appointment and the circumstances disclosed by the arbitrator, or from the date when the facts and circumstances on which the challenge is based became known to that party.
- 2. The challenge shall be decided by the Administrator after affording an opportunity for the emergency arbitrator and the other party or parties to provide comments in writing within three business days.
- 3. If the emergency arbitrator resigns, is incapable of performing his or her duties or is removed for any reason, and the office becomes vacant, the Administrator shall appoint a substitute emergency arbitrator within one business day.

Article (4): Transmission of the File

Once the emergency arbitrator has been appointed, the Administrator shall so notify the parties and shall transmit the file to the

emergency arbitrator. Thereafter, all written communications from the parties related to the emergency relief proceeding, shall be submitted directly o the emergency arbitrator with a copy to the other party and to the Administrator. A copy of any written communications from the emergency arbitrator to the parties shall be submitted to the Administrator.

Article (5): Place of Emergency Arbitrator Proceedings

- 1. If the parties have agreed upon the place of arbitration, such place shall be the place of emergency arbitrator proceedings. In the absence of such agreement, the Administrator shall determine the place of emergency arbitrator proceedings, without prejudice to the Tribunal's determination of the place of arbitration pursuant to Article 17 of the Rules.
- 2. The emergency arbitrator may meet with the parties by video conference, telephone or any similar means or other suitable means of communication, as well as in person at any location the emergency arbitrator deems appropriate.

Article (6): Proceedings

- 1. Within two business days of appointment, the emergency arbitrator shall establish a procedural timetable for consideration of the request for emergency relief.
- 2. The emergency arbitrator shall conduct the proceedings in the manner which he or she deems appropriate, taking into account the urgency inherent in emergency arbitrator proceedings and ensuring a reasonable opportunity for all parties to be heard.

Appendix III: Emergency Arbitrator Procedure

3. The emergency arbitrator shall have the authority vested in the Tribunal under Article 19 of the Rules, including the authority to rule on his own jurisdiction, including any objections with respect to the existence, validity or scope of the arbitration agreement, without prejudice to the Tribunal's determination. The emergency arbitrator shall resolve any disputes over the applicability of this Appendix.

Article (7): Time and Form of Order or Award

- 1. The emergency arbitrator's decision shall take the form of an order or interim Award.
- 2. In the order or Award, the emergency arbitrator shall determine whether the request is admissible pursuant to Article 6 of the Rules and whether the emergency arbitrator has jurisdiction to order interim measures.
- 3. The emergency arbitrator shall have the power to order or award any provisional or precautionary measures that he or she deems necessary, including but not limited to measures intended to:
 - (a) Maintain or restore the *status quo* pending determination of the dispute;
 - (b) Take action that would prevent, or refrain from taking action that is likely to cause, current or imminent harm or prejudice to the arbitral process itself;
 - (c) Provide injunctive relief and measures for the protection or conservation of property, including preserving assets out of which a subsequent Award may be satisfied; or

- (d) Preserve evidence that may be relevant and material to the resolution of the dispute.
- 4. The emergency arbitrator shall give summary reasons for his order or Award in writing. When deciding a party's request for emergency relief under this Appendix, the emergency arbitrator shall take into account the circumstances of the case. Relevant factors may include but are not limited to:
 - (a) Whether harm not adequately reparable by an award of damages is likely to result if the measure is not ordered, and whether such harm substantially outweighs the harm that is likely to result to the party against whom the measure is directed if the measure is granted; and
 - (b) Whether there is a reasonable possibility that the requesting party will succeed on the merits of the claim. The determination on this possibility shall not affect the Tribunal's discretion in making any subsequent determination.
- 5. Upon request of any party, the emergency arbitrator may modify or vacate the preliminary order, the interim order or Award for good cause.
- 6. Any order or interim Award by the emergency arbitrator may be subject to such conditions as he or she deems appropriate, including requiring the provision of appropriate security.
 - 7. The order or Award shall be made no later than 14 days from the date on which the file was transmitted to the emergency

Appendix III: Emergency Arbitrator Procedure

arbitrator pursuant to Article 4 of this Appendix. The Administrator may, in exceptional circumstances, extend the time limit pursuant to a reasoned request from the emergency arbitrator or on the Administrator's own initiative if it deems necessary.

Article (8): Effect of Order or Award

- 1. The emergency arbitrator shall send the order or Award to the parties, while also sending a copy to the Administrator, by any of the means of communication permitted by Article 3 of the Rules and that the emergency arbitrator deems will ensure prompt receipt.
- 2. Any interim Award or order shall have the same effect as an interim measure made pursuant to Article 23 of the Rules. Parties agree that such order or Award shall be binding on the parties from the date it is made and undertake to carry out the interim order or Award immediately and without delay. The parties also irrevocably waive their rights to any form of appeal, review or recourse to any court or other judicial authority with respect to such order or Award.
- 3. The order or Award shall cease to be binding on the parties:
 - (a) If the emergency arbitrator or the Tribunal so decides;
 - (b) Upon the Tribunal rendering a final award, unless the Tribuna expressly decides otherwise; or
 - (c) Upon the withdrawal of all claims or the termination of the arbitration before the rendering of a final award.

Article (9): Costs of Emergency Arbitrator Proceedings

- 1. A party applying for the emergency measure under this Appendix shall advance an amount of SAR 50,000 as a request deposit on the date of request.
- 2. The request deposit is intended to cover:
 - (a) SCCA administrative fee in the amount of SAR 10,000; and
 - (b) The emergency arbitrator's fee and expenses in the amount of SAR 40,000.
- 3. Notwithstanding Article 1, paragraph 5 of this Appendix, the request shall not be perfected until the request deposit is received by the Administrator.
- 4. The Administrator may, at any time during the emergency arbitrator procedure, decide to increase the requested deposit, taking into account, inter alia, the nature of the case and the nature and amount of work performed by the emergency arbitrator and/or the Administrator. If the applicant fails to pay the increased costs within the time limit fixed by the Administrator, the request shall be considered as withdrawn.
- 5. The emergency arbitrator's order or Award shall fix the costs associated with the emergency arbitrator proceedings and allocate them among the parties, subject to the Tribunal's authority to determine finally the allocation of such costs.
- 6. If the emergency arbitrator proceedings are terminated prior to an order or Award, the Administrator shall determine, at its discretion, the amount to be reimbursed to the applicant, if any, taking into account the stage

Appendix III: Emergency Arbitrator Procedure

reached in the emergency arbitrator proceedings and any other relevant circumstances.

Article (10): General Rules

- 1. The Administrator shall have the power to decide, at its discretion, all matters relating to the administration of the emergency arbitrator proceedings where not expressly provided for in this Appendix.
- 2. For all matters concerning emergency arbitrator proceedings not expressly provided for in this Appendix, the Administrator and the emergency arbitrator shall act in the spirit of the Rules and this Appendix.





SCCA Online Dispute Resolution Protocol ("ODR Protocol")



SCCA Online Dispute Resolution Protocol ("ODR Protocol")

Article (1): Scope of Application

- 1. The ODR Protocol shall apply where the parties agree in writing, and where the sum in dispute does not exceed SAR 200,000, representing the aggregate amount in claims, counterclaims, set-offs, and cross-claims, exclusive any costs of arbitration. If, after filing of the initial claim or counterclaim, a party amends its claim or counterclaim causing the sum in dispute to exceed SAR 200,000, the SCCA will continue to administer the case under the ODR Protocol unless the parties agree otherwise, or the SCCA or the arbitrator directs otherwise. The same shall apply in case of a cross-claim or set-offs. After the arbitrator is appointed, no new or different claim, counterclaim, cross-claim, or set-offs shall be submitted except with the Arbitrator's consent.
- 2. The ODR Protocol shall apply in addition to any provisions of the SCCA Arbitration Rules that are not in conflict with the ODR Protocol. If in conflict, the provisions of the ODR Protocol shall prevail.
- 3. The SCCA may, at any time during the arbitration proceedings, on its own initiative or upon request by a party, and after consultation with the arbitrator, decide that the ODR Protocol shall no longer apply to the case, and that the administration shall continue exclusively under the SCCA Arbitration Rules.

Article (2): Notice of Arbitration

- A party wishing to file an arbitration under the ODR Protocol shall submit the Notice of Arbitration, along with any supporting documents, using the SCCA ODR Platform ("ODR Platform"). In addition to the information requested in Article 4 of the SCCA Arbitration Rules, the Notice of Arbitration shall also include the email addresses of the parties and, if known, of their representatives.
- 2. If the Notice of Arbitration is submitted through the claimant's representative, the representative shall provide a Power of Attorney with the Notice of Arbitration that warrants that the representative is authorized to act on the claimant's behalf in the ODR proceedings.
- 3. The SCCA filing fee as per the ODR Fee Schedule is due on the day of filing the Notice of Arbitration and, unless otherwise directed by the SCCA, shall be paid by the claimant using the ODR Platform.
- 4. The ODR proceedings shall be deemed to have commenced on the date the SCCA notifies the parties that the Notice of Arbitration is available on the ODR Platform.

Article (3): Response and Counterclaim

- 1. Within 10 days from the commencement of the ODR proceedings, the respondent shall file a Response to the Notice of Arbitration using the ODR Platform.
- 2. The respondent may file a counterclaim, along with any supporting documents and its and/or its representative's email address, within 10 days from the commencement of the ODR proceedings using the ODR Platform. The respondent shall pay the SCCA filing fee using the ODR

SCCA Online Dispute Resolution Protocol ("ODR Protocol")

- Platform. In case of party representation, Article 2(2) of the ODR Protocol shall apply. This paragraph shall equally apply in case of any cross-claim or set-offs.
- 3. Within 10 days from the SCCA's confirmation of receipt of a counterclaim, the claimant shall file a Response to the counterclaim using the ODR Platform. The same shall apply in case of a set-offs or cross-claim.
- 4. When no Response is filed to a claim, counterclaim, set-off, or cross-claim, such claims shall be deemed as denied by the opposing party.

Article (4): Communications, Calculation of Time Limits

- 1. Communications include, but are not limited to, any notifications, notices, demands, pleadings, motions, requests, submissions, statements, documents, declarations, or responses.
- 2. Unless otherwise directed by the SCCA, all communications between the parties, the SCCA and the arbitrator shall be exchanged using the ODR Platform.
- 3. The SCCA shall notify the parties and the arbitrator of the availability of any communications received on the ODR Platform. A communication shall be deemed as received by a party when the SCCA notifies the party of its availability on the ODR Platform.
- 4. Time limits shall be calculated from the date the SCCA has made a notification in accordance with paragraph 3 of this provision. The SCCA may, in its sole discretion, extend any deadlines for a reasonable amount of time. Once appointed, the arbitrator shall make such determinations.

Article (5): Arbitrator Appointment and Challenge

- 1. The SCCA shall promptly appoint a sole arbitrator who shall disclose any circumstances that may give rise to justifiable doubts as to his or her impartiality or independence. If after the appointment circumstances arise that may give rise to such doubts, the arbitrator or party shall promptly disclose such information to all parties and the SCCA. Upon receipt of such information from the arbitrator or party, the SCCA shall communicate it to all parties.
- 2. Any challenge of the arbitrator shall be submitted using the ODR Platform within two business days of the communication by the SCCA to the parties of the arbitrator's appointment and the circumstances disclosed. Absent any challenge by this deadline, the arbitrator shall be reaffirmed. If a challenge has been submitted, the SCCA shall invite the other party to submit comments. The SCCA shall then determine, in its sole discretion, whether to reaffirm or replace the arbitrator.

Article (6): Deposits

Upon appointment of the arbitrator, the claimant shall pay additional deposits for SCCA administrative fees and arbitrator fees as per the ODR Fee Schedule using the ODR Platform.

Article (7): Place of Arbitration

Unless otherwise agreed by the parties, the place of arbitration shall be Riyadh, Kingdom of Saudi Arabia.

Article (8): Language of Arbitration

Unless otherwise agreed by the parties, the language of arbitration shall be Arabic

SCCA Online Dispute Resolution Protocol ("ODR Protocol")

Article (9): Conduct of Proceedings

- 1. The arbitrator shall conduct the ODR proceedings in such manner as he or she deems appropriate, provided that the parties are treated on an equal basis and that each party has the right to be heard and is given a fair opportunity to present its case.
- 2. The arbitrator shall manage the ODR proceedings with a view to maintaining efficiency and economy while expediting the resolution of the dispute.
- 3. The arbitrator and the parties should endeavor to avoid unnecessary delay or expense while at the same time avoiding surprises, assuring equal treatment, and safeguarding each party's opportunity to present its claims and defenses fairly.

Article (10): Award Based on Communications, Oral Hearing

- 1. The arbitrator shall issue the final award based on the communications submitted by the parties. The arbitrator may, in his or her sole discretion, request for additional information or evidence from the parties as he or she deems appropriate.
- 2. If the parties so agree or the arbitrator deems it appropriate, an oral hearing shall be held via phone or video conference under the following conditions:
 - a) Unless otherwise directed by the arbitrator, the oral hearing shall not exceed one day;
 - b) The parties shall inform each other, the arbitrator, and the SCCA of the names of all participants in advance of the oral hearing. Persons not involved in the proceedings shall not be admitted unless approved by the parties and the arbitrator prior to the oral hearing;

- c) Any unauthorized transcript, stenographic record, or any other form of recording is prohibited. A party desiring a transcript, stenographic record, or other form of recording may arrange for one only after prior written consent from all parties and the arbitrator;
- d) The parties shall pay the costs of the oral hearing in advance on an equal basis, subject to reallocation by the arbitrator in the final award.

Article (11): Final Award

- 1. The arbitrator shall issue the final award within 30 days of his or her appointment or, in case of a challenge, the date of his or her reaffirmation. In exceptional circumstances, the SCCA may extend this time limit, in its sole discretion, as it deems appropriate.
- 2. The final award shall state the reasons upon which it is based and shall allocate the costs of arbitration among the parties.
- 3. Before signing the final award, the arbitrator shall transmit it in draft form to the SCCA. No award shall be issued by the arbitrator until it has been reviewed by the SCCA as to its form. The SCCA shall communicate the final award using the ODR Platform, which shall constitute proper service on the parties. Where requested by the SCCA or a party, or where required by law, the arbitrator shall provide the SCCA with one or more signed original hard copies of the final award for circulation to the parties.
- 4. The final award shall be binding on the parties and shall constitute a duly executed final award for purposes of enforcement.

SCCA Online Dispute Resolution Protocol ("ODR Protocol")

5. If applicable law requires an award to be filed or registered, the arbitrator shall cause such requirement to be satisfied. It is the responsibility of the parties to bring such requirements or any other procedural requirements of the place of arbitration to the attention of the arbitrator.

Article (12): Suitability, Adequacy, Risks, Exclusion of Liability

- 1. Parties agreeing to the ODR Protocol confirm that they have made their own enquiries as to the ODR Platform's suitability and adequacy for use in the proceedings and that assume their liability and all risks relating to the use of this technology, including any risks relating to security, privacy, and confidentiality.
- 2. The arbitrator, the SCCA and its employees, the SCCA Board, and the SCCA Committee shall not be liable to any person for any act or omission in connection with the arbitration or any operation of the ODR Platform, except to the extent that such limitation of liability is prohibited by applicable law.



SCCA ODR Fee Schedule

SCCA Filing Fee	SCCA Administrative Fee	Arbitrator Fee
SAR 1,000	SAR 1,000	SAR 7,000
The filing fee is non-refundable and due on the day of filing the Notice of Arbitration. The Filing Fee also applies to counterclaims, set-offs, and crossclaims.	non-refundable and is due on the	The Arbitrator Fee is all-inclusive and non-refundable and is due on the day of the appointment of the Arbitrator.





It is recommended that parties wishing to make reference to SCCA arbitration in their contracts use the standard clauses below.⁽¹⁾

Standard SCCA Arbitration Clause

Any dispute, controversy or claim arising out of or relating to this contract, or the breach, termination or invalidity thereof, shall be settled by arbitration administered by the Saudi Center for Commercial Arbitration (the "SCCA") in accordance with its Arbitration Rules.

Standard SCCA Step Clause

Any dispute, controversy or claim arising out of or relating to this contract, or a breach, termination or invalidity thereof, the parties hereto agree first to try to settle it by mediation, administered by the Saudi Center for Commercial Arbitration (the "SCCA") in accordance with its Mediation Rules. If settlement is not reached within 45 days after service of a written request for mediation, any unresolved dispute, controversy or claim arising out of or relating to this contract shall be settled by arbitration administered by the SCCA in accordance with its Arbitration Rules.

Model Arbitration Clauses - Expedited Procedure

Expedited Procedure shall apply to all disputes where the parties agree to have their dispute administered by SCCA under its arbitration rules if the amount in dispute does not exceed 4,000,000 SAR exclusive of arbitration costs. However, parties wishing to opt in or opt out of Expedited Procedure may consider one of the standard auses below.

⁽¹⁾ These clauses are merely a suggestion. Ideally, a licensed lawyer would be consulted before incorporating the clauses into a contract.

Opt-In Clause Regardless of the Amount in Dispute

"Any dispute, controversy or claim arising out of or relating to this contract, or the breach, termination or invalidity thereof, shall be settled by arbitration administered by the Saudi Center for Commercial Arbitration (the "SCCA") in accordance with its Arbitration Rules, and the Expedited Procedure provisions set forth in Appendix II to SCCA Rules shall apply, irrespective of the amount in dispute."

Opt-In Clause with a Higher Ceiling for the Amount in Dispute

"Any dispute, controversy or claim arising out of or relating to this contract, or the breach, termination or invalidity thereof, shall be settled by arbitration administered by the Saudi Center for Commercial Arbitration (the "SCCA") in accordance with its Arbitration Rules, and the Expedited Procedure provisions set forth in Appendix II to SCCA Rules shall apply, provided that the amount in dispute does not exceed SAR [specify amount] at the time of filing the notice of arbitration."

Opt-Out Clause

"Any dispute, controversy or claim arising out of or relating to this contract, or the breach, termination or invalidity thereof, shall be settled by arbitration administered by the Saudi Center for Commercial Arbitration (the "SCCA") in accordance with its Arbitration Rules. The Expedited Procedure provisions set forth in Appendix II to SCCA Rules shall not apply, irrespective of the amount in dispute."

ODR model Clause:

Any dispute, controversy, or claim arising out of or relating to this contract, or the breach, termination, or invalidity thereof, shall be settled by arbitration administered by the Saudi Center for Commercial Arbitration ("SCCA") in accordance with its Arbitration Rules. If the sum in dispute does not exceed SAR 200,000 then the SCCA Online Dispute Resolution Protocol shall apply.





Mediation Rules

Effective 31 July 2016 – 26 Shawwal 1437



Mediation Rules

Article (1): Definitions

The following words shall have the meanings assigned thereto unless otherwise required by context:

Kingdom : Kingdom of Saudi Arabia.

Mediation Agreement : An agreement by the parties to submit to

mediation all or certain disputes which have arisen or which may arise between them; a Mediation Agreement may be in the form of a mediation clause in a contract or in the

form of a separate contract.

Administrator : Saudi Center for Commercial Arbitration

(the "SCCA").

Rules : SCCA Mediation Rules.

Day(s) : Means calendar day(s).

Article (2): Scope of Application

- 1. These Rules apply whenever parties have agreed to mediate disputes under these Mediation Rules or have provided for mediation or conciliation of existing or future disputes under the auspices of SCCA.
- 2. The parties may agree on the applicable mediation rules. If they agree to mediate their dispute under the auspices of the SCCA without designating particular rules, they shall be deemed to have made these Rules, in effect as of the date of the submission of the dispute, a part of their agreement. The parties, by mutual agreement, may vary any part of these Rules including, but not

limited to, agreeing to conduct the mediation via telephone or other electronic or technical means.

Article (3): Initiation of Mediation

- 1. Any party or parties to a dispute may initiate mediation under the SCCA's auspices by making a request for mediation to the SCCA via telephone, email, regular mail, or fax. Requests for mediation may also be filed online via SCCA website: www.sadr.org.
- 2. Unless the mediation request is submitted jointly by all parties, the party initiating the mediation shall simultaneously notify the other party or parties of the request. The initiating party shall provide the following information to the Administrator and the other party or parties:
 - (a) a copy of Mediation Agreement;
 - (b) the names, regular mail addresses, email addresses, and telephone numbers of all parties to the dispute and representatives, if any, in the mediation;
 - (c) a brief statement of the nature of the dispute, and the relief requested;
 - (d) any agreement or proposal related to the language of the mediation, the location of mediation, and its duration;
 - (e) any specific qualifications the mediator should possess;
 - (f) filing fee required by the Appendix hereto in force on the date of the request filing.
- 3. Where there is no agreement between parties to mediate under the auspices of the SCCA, a party may request the Administrator to invite another party to participate in mediation by voluntary submission.

Article (4): Representation

1. Subject to any applicable law, any party may be represented

by persons of the party's choice. The names and addresses of such persons shall be communicated in writing to all parties and to the Administrator.

2. Parties' representatives shall have all the necessary authority to settle the dispute. The Administrator and all parties shall be notified of the limits of their authority.

Article (5): Appointment of the Mediator

- 1. The parties may jointly appoint a mediator or agree on a mechanism for appointing the mediator. There shall be a sole mediator unless the parties appoint more than one mediator.
- 2. If the parties have not agreed to the appointment of a mediator and have not provided any other method of appointment, the Administrator shall appoint the mediator in the following manner:
 - (a) Upon receipt of a request for mediation, the Administrator will send to each party an identical list of mediators from the SCCA Panel of Mediators. The parties are encouraged to agree to a mediator from the submitted list and to advise the Administrator of their agreement;
 - (b) If the parties are unable to agree upon a mediator, each party shall strike unacceptable names from the list, number the remaining names in order of preference, and return the list to the Administrator. If a party does not return the list within the time specified, all mediators on the list shall be deemed acceptable;
 - (c) From among the mediators who have been mutually approved by the parties, and in accordance with the designated order of mutual preference, the Administrator shall invite a mediator to serve;
 - (d) If the parties fail to agree on any of the mediators listed, or if acceptable mediators are unable to serve, or if for any

other reason the appointment cannot be made from the submitted list, the Administrator shall have the authority to make the appointment from among other members of the Panel of Mediators without the submission of additional lists.

Article (6): Mediator's Impartiality and Duty to Disclose

- 1. SCCA mediators are required to abide by the Code of Ethics for Mediators in effect at the time a mediator is appointed to a case. Where there is a conflict between the Code and any provision of these Rules, these Rules shall govern. The Code requires mediators to:
 - (a) decline a mediation if the mediator cannot conduct it in an impartial manner; and
 - (b) disclose, as soon as practicable, all actual and potential conflicts of interest that are reasonably known to the mediator and could reasonably be seen as raising a question about the mediator's impartiality.
- 2. Prior to accepting an appointment, SCCA mediators are required to make a reasonable inquiry to determine whether there are any facts that a reasonable individual would consider likely to create a potential or actual conflict of interest for the mediator. SCCA mediators are required to disclose any circumstance likely to raise doubts about their impartiality or prevent a resolution of the parties' dispute within the time frame desired by the parties. Upon receipt of such disclosures, the Administrator shall immediately communicate the disclosures to the parties for their comments.
- 3. The parties may, upon receiving disclosures of actual or potential conflicts of interest of the mediator, waive such conflicts and proceed with the mediation. In the event that any party objects to the appointment of or continuing service of a mediator, the mediator shall be replaced.

4. If, during the course of the mediation proceeding, a mediator becomes aware of any facts that might create the conflict of interest described in paragraph 2 of this Article, or if these facts arise after his appointment, the mediator shall disclose those facts to the parties and the Administrator without delay.

Article (7): Vacancies

If any mediator becomes unwilling or unable to serve for any reason, the Administrator will appoint another mediator, unless the parties agree otherwise, in accordance with Article 5 of these Rules.

Article (8): Duties and Responsibilities of the Mediator

- 1. The mediator shall conduct the mediation based on the principle of party self-determination. Self-determination is the act of coming to a voluntary, un-coerced decision in which each party makes free and informed choices as to process and outcome.
- 2. The mediator and the parties or their representatives shall promptly discuss, in a preliminary conference, the manner in which the mediation shall be conducted, the methods of exchanging documents and submissions, and mediation timetable.
- 3. The mediator is authorized to conduct separate meetings and other communications with the parties and/or their representatives, before, during, and after any scheduled mediation conference. Such communications may be conducted via telephone, in writing, via email, video conference, online, in person, or otherwise.
- 4. The parties are encouraged to exchange all documents pertinent to the matters in dispute. The mediator may request the parties to exchange additional information. Information that a party wishes to keep confidential may be sent to the mediator, as necessary, in a separate communication with the mediator.

- 5. The mediator does not have the authority to impose a settlement on the parties but will attempt to help them reach a satisfactory resolution of their dispute.
- 6. In the event that a complete settlement of all or some issues in dispute is not achieved within the scheduled mediation conference(s), the mediator may continue to communicate with the parties for a period of time, agreed upon between them, in order to facilitate a complete settlement.
- 7. The mediator is not a legal representative of any party and has no fiduciary duty to any party.

Article (9): Responsibilities of the Parties

- 1. The parties shall ensure that appropriate representatives of each party, having authority to consummate a settlement, attend the mediation conference.
- 2. Prior to and during the scheduled mediation conference(s), the parties and their representatives should exercise their best efforts, to prepare for and engage in a meaningful and productive mediation.

Article (10): Privacy

Mediation conferences and related mediation communications are private proceedings. The parties and their representatives may attend mediation conferences. Other persons may attend only with the permission of the parties and with the consent of the mediator.

Article (11): Confidentiality

1. Subject to applicable law or the parties' agreement, confidential information disclosed to a mediator by the parties, their representatives or by other participants in the course of the mediation shall not be divulged by the mediator. The mediator shall maintain the confidentiality of all information obtained in the mediation, and all records, reports, or other documents received by a mediator while serving in that capacity shall be confidential.

- 2. The mediator, SCCA staff, and other participants in the mediation process shall not be compelled to divulge such records or to testify in regard to the mediation in any adversarial proceeding or judicial forum.
- 3. unless otherwise agreed by the parties or required by applicable law, the parties shall maintain the confidentiality of the mediation and shall not rely on, or introduce as evidence in any arbitral, judicial, or other proceeding the following:
 - (a) views expressed or suggestions made by a party or other participant with respect to a possible settlement of the dispute;
 - (b) admissions made by a party or other participant in the course of the mediation proceedings;
 - (c) proposals made or views expressed by the mediator;
 - (d) the fact that a party had or had not indicated willingness to accept a proposal for settlement made by the mediator.
- 4. Unless otherwise agreed by the parties, each party shall, on the termination of the mediation, return to the party providing it any submission, or documents, without retaining any copy thereof.
- 5. Participants other than parties and their representatives shall sign an appropriate confidentiality undertaking prior to taking part in the mediation.
- 6. Unless otherwise agreed by the parties, any settlement agreement between the parties shall be kept confidential, except that a party shall have the right to disclose it to the extent that such disclosure is necessary for purposes of its enforcement.

Article (12): No Records or Minutes

unless otherwise agreed by the parties, there shall be no records or minutes of the mediation process

Article (13): Termination of Mediation

The mediation shall be terminated in any of the following cases:

- (a) by the signing of a settlement agreement by the parties;
- (b) by a written or verbal declaration of the mediator to the effect that further efforts at mediation would not contribute to a resolution of the parties' dispute;
- (c) by a written or verbal declaration of any parties to the effect that the mediation proceedings are terminated;
- (d) by the Administrator written notification to the parties, not less than seven days after the due date for any payment by one or more parties pursuant to the Rules, that such payment has not been made;
- (e) when there has been no communication between the mediator and any party or party's representative for 21 days following the conclusion of the mediation conference.

Article (14): Exclusion of Liability

Neither the Administrator, the SCCA Board, nor any mediator shall be made a party in judicial proceedings relating to the mediation. Neither the Administrator, the SCCA Board, nor any mediator shall be liable to any party or any participant in the mediation proceeding for any error, act, or omission in connection with any mediation conducted under these Rules.

Article (15): Interpretation and Application of Rules

- 1. The mediator shall interpret and apply these Rules insofar as they relate to the mediator's duties and responsibilities. All other Rules shall be interpreted and applied by the Administrator.
- 2. In case of conflict with regards to the interpretation of the Rules, the Arabic language shall prevail as official language.

Article (16): Deposits

1. Unless otherwise directed by the mediator, the Administrator will require the parties to deposit in advance of the mediation

Mediation Rules

conference such sums of money as it, in consultation with the mediator, deems necessary to cover the costs and expenses of the mediation. The Administrator may subsequently request the parties to make supplementary deposits if necessary. Upon the termination of the mediation, the Administrator shall render a final accounting to the parties.

2. The Administrator may suspend or terminate the Proceedings under the Rules if any requested deposit is not paid.

Article (17): Costs and Fees

- 1. Non-refundable mediation filing fee is set out in the Appendix hereto. No Request shall be processed unless accompanied by the appropriate filing fee. Other SCCA administrative fee is set out in the Appendix hereto.
- 2. Unless otherwise agreed by the parties and the Mediator, the fee of the Mediator is set out in the Appendix hereto.
- 3. All expenses of the mediator and Administrator, including required travel and other expenses of the mediator, shall be borne equally by the parties unless they agree otherwise. The party's other expenses, including the expenses of participants for either side, shall remain the responsibility of that party.
- 4. If a matter submitted for mediation is withdrawn, cancelled or results in a settlement after the mediation request is filed, but prior to the mediation conference, the mediator time and charges incurred, in addition to non-refundable filing fee shall be borne by the parties.

Article (18): Language of Mediation

unless otherwise agreed by the parties, the language(s) of the mediation shall be that of the documents containing the Mediation Agreement.



Appendix Mediation Costs and Fees

Effective 31 July 2016 – 26 Shawwal 1437



Appendix Mediation Costs and Fees

Article (1): Definition of Costs

- 1. The term "Costs" includes:
 - (a) Administrative fees, both the filing fee to be determined in accordance with Article 2 of this Appendix, and the final fee to be determined in accordance with Article 3 of this Appendix;
 - (b) Mediator fee, to be determined in accordance with Article 4 of this Appendix;
 - (c) The reasonable travel and other expenses incurred by the mediator and/or the SCCA.
- 2. Unless otherwise agreed, all costs shall be borne in equal shares by the parties.

Article (2): Filing Fee

Each Request for Mediation pursuant to these Rules must be accompanied by a filing fee of 1,000 SAR. The filing fee is non-refundable.

Article (3): Final Fee

1. The SCCA mediation final fee shall be equal to 20% of the arbitration administrative final fee indicated in the Appendix to SCCA Arbitration Rules but it shall normally not exceed 60,000 SAR.

2. In exceptional circumstances, the Administrator, at its discretion, may deviate from the fee set out in paragraph 1 of this Article taking into account all the circumstances of the case.

Article (4): Mediator Fee and Expenses

- 1. The SCCA mediator fee shall be equal to 20% of the one arbitrator Tribunal fee indicated in the Appendix to SCCA Arbitration Rules. Alternatively, the parties and the mediator may agree upon an hourly or per diem mediator fee.
- 2. In exceptional circumstances, the Administrator, at its discretion, may deviate from the fee set out in paragraph 1 of this Article, based upon a request of a party or the mediator. The Administrator will take into account all the circumstances of the case, including the amount in dispute, its complexity, the amount of work required of the mediator and any other relevant circumstances. Prior to adjusting the fee, the Administrator shall invite observations from all parties and the mediator.
- 3. The amount of reasonable expenses of the mediator shall be fixed by the Administrator.

Article (5): Prior and Subsequent SCCA Arbitration

- 1. When an SCCA mediation is preceded by the submission of a request for arbitration pursuant to the SCCA arbitration Rules concerning the same dispute, there will be no filing fee for the mediation.
- 2. When an SCCA arbitration is preceded by a request for mediation, pursuant to the SCCA mediation Rules concerning the same dispute, the filing fee paid for mediation shall be credited to the arbitration administrative fee.

Appendix: Mediation Costs and Fees

Article (6): Methods of Payment

- 1. All amounts due and owing in relation to the mediation costs shall be deposited with the SCCA and shall remain there until the termination of mediation. Amounts paid as advances on Costs do not yield interest for the parties, the mediator or the SCCA.
- 2. The payment of the Costs shall not engage any charges on the SCCA.









Mediation Clauses

Parties wishing to use proceedings under the SCCA Mediation Rules should consider choosing one of the clauses below:⁽²⁾

Pre-dispute Clause

Any dispute, controversy or claim arising out of or relating to this contract, or a breach, termination or invalidity thereof, the parties hereto agree first to try to settle it by mediation, administered by the Saudi Center for Commercial Arbitration (the "SCCA") in accordance with its Mediation Rules, before resorting to arbitration, litigation, or some other dispute resolution procedure.

Post-dispute Clause

The parties hereby submit the following dispute to mediation administered by the Saudi Center for Commercial Arbitration (the "SCCA") in accordance with its Mediation Rules.



⁽²⁾ These clauses are merely a suggestion. Ideally, a licensed lawyer would be consulted before incorporating the clause into a contract.

